

TAX REPORTING SUITE

CRS

AUSTRIA

VERSION 1803



USERS'S MANUAL

Published: Mar 2018

For the latest information and to leave feedback, please visit Vogele IT-Services at
<http://www.section11.ch> or <https://www.trsuite.ch> or <https://gmsg.at>

The information in this document and any document referenced herein is provided for informational purposes only, is provided AS IS AND WITH ALL FAULTS and cannot be understood as substituting for customized service and information that might be developed by Vogele IT-Services for a particular user based upon that user's particular environment. RELIANCE UPON THIS DOCUMENT AND ANY DOCUMENT REFERENCED HEREIN IS AT THE USER'S OWN RISK.

© 2018 Vogele IT-Dienstleistungen. All rights reserved.

Information in this document, including URL and other Internet Web site references, is subject to change without notice. Unless otherwise noted, the example companies, organizations, products, domain names, e-mail addresses, logos, people, places and events depicted herein are fictitious, and no association with any real company, organization, product, domain name, e-mail address, logo, person, place or event is intended or should be inferred.

VOGELE IT-SERVICES PROVIDES NO WARRANTIES, EXPRESS, IMPLIED OR STATUTORY, AS TO THE INFORMATION CONTAINED IN THIS DOCUMENT AND ANY DOCUMENT REFERENCED HEREIN. Vogele IT-Services provides no warranty and makes no representation that the information provided in this document or any document referenced herein is suitable or appropriate for any situation, and Vogele IT-Services cannot be held liable for any claim or damage of any kind that users of this document or any document referenced herein may suffer. Your retention of and/or use of this document and/or any document referenced herein constitutes your acceptance of these terms and conditions. If you do not accept these terms and conditions, Vogele IT-Services does not provide you with any right to use any part of this document or any document referenced herein.

Complying with the applicable copyright laws is the responsibility of the user. Without limiting the rights under copyright, no part of this document may be reproduced, stored in or introduced into a retrieval system, or transmitted in any form or by any means (electronic, mechanical, photocopying, recording or otherwise), or for any purpose, without the express written permission of Vogele IT-Services.

The names of actual companies and products mentioned herein may be the trademarks of their respective owners.

Content

Revision History	4
The Main Window.....	4
CRS AT: Module Overview	5
Reference.....	6
CRS AUSTRIA	6
File Information	6
CRS AT Configuration	6
FinanzOnline	7
Preconditions.....	7
Upload	8
DataBox Download	8
Appendix.....	11
Export control information	11

Revision History

Product Version	Document Version	Revision Date	Description
1704	Rev 0	10.04.2017	GA Release AT
1706	Rev 1	19.06.2017	Webservice support added
1712	Rev 2	01.01.2018	Work Item added
1802	Rev 3	27.02.2018	No changes
1803	Rev 4	21.03.2018	Fix: Webservice-Call with Proxy authentication Databox File-Type description

The Main Window

The Main window is the launch point for the entire application. You can select the licensed module in the modules button bar and perform the module specific tasks within main area of the module.

Each module comes with its own module menu and detail area.

The screenshot shows the main window of the application. At the top, there is a menu bar (File, Edit, Help) and a toolbar with icons for Form 8966, CRS, IDES, SEI, BzSt, and Masterdata. Below the toolbar is a 'Modules Button Bar' containing buttons for 'Create Transmission Archive', 'Extract IRS Response', 'Extract Archive', and 'IDES Configuration'. The main area is divided into two sections: the 'Module Main Area' on the left, which contains configuration fields for 'IDES information' (GIIN, Paths to Public/Private Keys, Password Private Key, Path to IRS/HCTA Public Keys, HCTA GIIN, Working Directory) and 'SFTP' (SFTP-Server, User, Password, Upload/Download Directories, Proxy Required), and the 'Module Details Area' on the right, which shows 'STATUS' information (FILE, SAVED, PATH) and a 'Status' field. Callout boxes provide definitions for these components.

Modules Button Bar – shows all available modules of the software.

Menu options

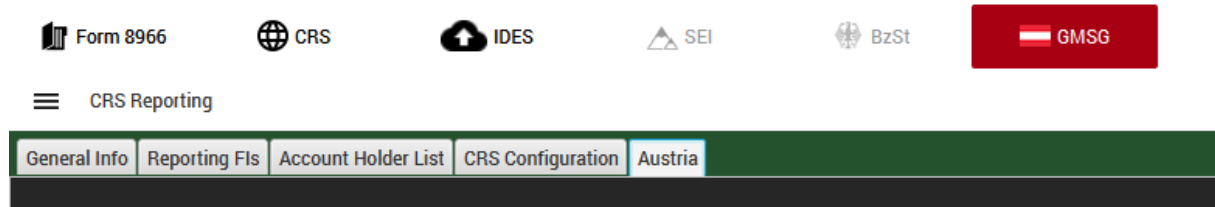
Module Menu – module specific menu options

Module Main Area – the main working area of a module, where all module specific tasks can be executed

Module Details Area – includes general information and details for the selected module

CRS AT: Module Overview

This guide provides information about the AT specific extension of the CRS module of the TRSuite software package. It describes processes and procedures for importing and exporting CRS OECD XML 1.0 files compatible with the Austrian schema extensions.



This document may describe features and capabilities that requires a separate license and are not covered by the standard license. For information about licensing please contact support@section11.ch

The CRS module includes the following:

- General Info Tab
- Reporting FIs Tab
- Account Holder List
- XML- / Excel-Import
- XML-Export
- Configuration

The functions are described in the CRS User Guide.

The CRS AT module covers the following extension

- Austria Reporting Tab

The screenshots throughout the USER'S MANUAL are produced on Windows 10 using the Windows Look and Feel.

In addition to this Users Guide, the following online resources may be useful:

- GMSG / BMF Detailinformation für Banken
<https://www.bmf.gv.at/egovernment/fon/fuer-versicherungen-und-banken/Banken-Detailinfo.html>
- OECD AEOI portal
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>
- The Common Reporting Standard
<http://www.oecd.org/ctp/exchange-of-tax-information/standard-for-automatic-exchange-of-financial-account-information-for-tax-matters-9789264216525-en.htm>
- The CRS XML Schema User Guide
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/schema-and-user-guide/#d.en.345315>

Reference

The following information is used to generate a CRS OECD 1.0 XML compliant document. Before filing a OECD CRS Report, please ensure that you are familiar with your country specific Guidance Notes.

CRS AUSTRIA

The Austrian Tax Authority defines an additional header element **Info_Daten** to allow the identification of the sender (Übermittler) and the reporting financial institution. These data element can be entered in the CRS AT tab as shown below.

The screenshot shows the 'CRS Reporting' interface with the 'Austria' tab selected. The 'Info Daten' section contains the following fields:

- Steuernummer des Übermittlers: Finanzamt-Steuernummer des FinanzOnline Teilnehmers(Übermittler) [ADD]
- Steuernummer des Finanzinstituts: Finanzamt-Steuernummer des Finanzinstituts [ADD]
- Versionsnummer: 01.00

At the bottom of the form, there are three buttons: 'Load AT XML ...' (4), 'Export AT XML ...' (3), and 'Validate Data' (2). A red circle with the number 1 is placed over the 'ADD' buttons.

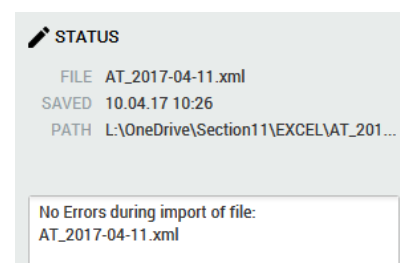
If the data is already entered into the General Info (Übermittler) and the Reporting FI tab, the “add” buttons (1) allow the automatic transfer of the data.

After the successful validation of the filed report (2) the final XML-Report can be exported by pressing the “Export AT XML ...” button (3). IT opens a file chooser dialog to let you browse to the location where you want to save the document.

You can open existing AT XML report by pressing the “Load AT XML...”-Button (4). In the dialog box browse to select a document and click “Open” to load the data.

File Information

After a file is loaded or saved, the information about the file (name, last modified, absolute path) is displayed in the status pane of the detail area. The full path can be seen in the tooltip.



CRS AT Configuration

Different tax authorities defining their country specific rules on how to generate valid documents and how to generate well-formed document as well as message reference IDs. Also, the specific characteristics of the TIN specificities depends on the country.

In the CRS Configuration tab, the user selects the according Country Validator or generates a user-defined regular expression.

CRS Reporting

General Info Reporting FIs Account Holder List CRS Configuration Austria

CRS Country Specific Configuration

Country Validator Austria 1

TIN-Regex AT_TIN

Doc Ref ID Rule CRS_AT 3

Message Ref ID Rule CRS_AT

File Naming DEFAULT

Default Currency EUR

Default Country Code AT

Apply Defaults 2

[0-9]{9}

AT_[YEAR]_[REPORTING_FI_IN]_[UUID]_[RECEIVING_COUNTRY]

[REPORTING_FI][TRANSMITTING_COUNTRY_CD][YEAR][UUID]

[SENDING_IN]_[CURRENT_DATE].xml

First select your country (1), apply the default values by pressing (2), check the configuration (3) and adapt the values as required. Finally save the configuration.

Load ... Save as ... Save Reset Validate

- **“Load”** You can open existing configuration files by pressing the Load-Button. In the dialog box browse to select a document and click “Open” to load the document.
- **“Save as ...”** Saves the current configuration in a different location, or with a different file name or file type. Opens a file chooser dialog to let you browse to the location where you want to save the document.
- **“Save”** Saves the current configuration to the last selected file or default location. The data is stored within an XML-File and automatically available the next time you start the program. If there are unsaved changes the save-button is colored green.
- **“Reset”** loads the last saved configuration and overwrites all unsaved changes.
- By pressing the **“Validate”**-Button the tool loads the given keys, validates the entered data and shows the result of the validation within a popup window.

FinanzOnline

CRS Reporting in Austria requires the reporting financial institutions to upload and download files to the FinanzOnline portal via web service. The following learning nugget demonstrates how this can be easily done with TRSuite: <https://www.youtube.com/watch?v=QSlvXOaQZ5Y>

Preconditions

- Der Übermittler muss FinanzOnline Teilnehmer sein.
- Der Teilnehmer muss in der Benutzerverwaltung einen Benutzer für 'Webservices' anlegen.
- Das Webservice muss mit diesem Benutzer verwendet werden.

First the FinanzOnline configuration needs to be filled with the required data:

FinanzOnline

Service-URL TEST

Teilnehmer-Nummer Hersteller-ID

Benutzer ID PIN

After all required data is entered, a test-login can be executed by pressing the “TEST” button. If the login is successful, the current session ID and return code = 0 is displayed in the status text area:

STATUS

FILE CRS XML 1.0

SAVED

PATH

ID: rEWJic3nmRqJcsoFaa16088

RC: 0

MSG: null

If the login fails, no session ID is received and the error message is displayed in the status area:

<p>ID:</p> <p>RC: -4</p> <p>MSG: Die übermittelten Zugangsdaten sind ungültig.</p>	<p>Error during web service logging:</p> <p>Client received SOAP Fault from server:</p> <p>Validation error Please see the server log to find more detail regarding exact cause of the failure.</p>
--	---

Upload

To upload your CRS report, select the AT-XML file, check the Test-Transfer box (if the upload contains test data) and press the “WebService-Upload” button.

Upload

AT-CRS Report ...

Output Directory ...

Test-Transfer

WebService-Upload

The resulting return code and message is displayed in the details area. If the transfer is successful a transfer protocol is placed in the users “DataBox” for download.

If the transfer fails, either a technical error message is returned or an error code in case of any business rule violation. The following error codes are defined by the BMF:

- 0 = Aufruf ok
- 1 = Die Session ID ist ungültig oder abgelaufen.
- 2 = Der Aufruf des Webservices ist derzeit wegen Wartungsarbeiten nicht möglich.
- 3 = Es ist ein technischer Fehler aufgetreten.
- 4 = div. Fehlermeldungen vom Parser
- 5 = Sie haben keine Berechtigung, diese Inhalte dieser Art zu übermitteln.

DataBox Download

By pressing the “Load DataBox” without any additional type or date settings, all unread DataBox documents will be displayed:

Bezeichnung	Zugestellt	Art	Typ
UVA_OK_2.xml	2017-06-04T06:07:56	xml	P
Team_NOK.xml	2017-06-04T06:07:56	xml	P
RZ_TW_OK_3.xml	2017-06-04T06:07:56	xml	P
RZ_OK_2.xml	2017-06-04T06:07:56	xml	P

without a date only unread document will be loaded

If a specific type is selected only documents of this type are display.

Following types are defined by the BMF:

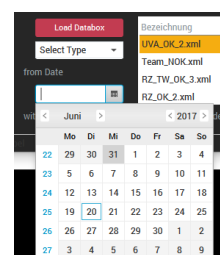
- AE Amtshilfeersuchen Einheitswerte (nur für Notare)
- AF Amtshilfeersuchen Erledigung durch Finanzamt (nur für Notare)
- AK Amtshilfeersuchen Kontoabfragen (nur für Notare)
- AZ Amtshilfeersuchen Abschluss (nur für Notare)
- B Bescheide, Ergänzungersuchen und Bescheinigungen
- DL Dienstgeberbeitragslisten (nur für Gemeinden)
- E Prüfungsergebnisse (nur für Gemeinden)
- EU EU-Erledigungen
- FB Firmenbuchzustellungen (nur für Wirtschaftstreuhänder, Notare und Rechtsanwälte)
- GM Grundsteuerermessbeträge
- I Informationen
- KG Kommunalsteuergrundlagen (nur für Gemeinden)
- M Mitteilungen
- P Protokolle
- QL Quotenlisten (nur für Parteienvertreter)
- SS Selbstberechnungserklärungen (nur für Wirtschaftstreuhänder, Notare und Rechtsanwälte)

Select Type

- ALLE - Alle (ungelesenen) behördlich zugestellten DataBox-Inhalte
- AE - Amtshilfeersuchen Einheitswerte (nur für Notare)
- AF - Amtshilfeersuchen Erledigung durch Finanzamt (nur für Notare)
- AK - Amtshilfeersuchen Kontoabfragen (nur für Notare)
- AZ - Amtshilfeersuchen Abschluss (nur für Notare)
- B - Bescheide, Ergänzungersuchen und Bescheinigungen
- DL - Dienstgeberbeitragslisten (nur für Gemeinden)
- E - Prüfungsergebnisse (nur für Gemeinden)
- EU - EU-Erledigungen FB Firmenbuchzustellungen (nur für Wirtschaftstreuhänder, Notare und Rechtsanwälte)
- GM - Grundsteuerermessbeträge

To download a file to your local hard drive, right-click the according file entry and press “Download” from the context menu item. The file is stored within the configured working directory.

If a date value is selected read and unread documents are displayed from the selected date until – 7 days. Elements are only available for the last 31 days.



If the transfer fails, either a technical error message is returned or an error code in case of any business rule violation. The following error codes are defined by the BMF:

- 0 = Aufruf ok
- 1 = Die Session ID ist ungültig oder abgelaufen.
- 2 = Der Aufruf des Webservices ist derzeit wegen Wartungsarbeiten nicht möglich.
- 3 = Es ist ein technischer Fehler aufgetreten.
- 4 = ts_zust_von und ts_zust_bis müssen angegeben werden.
- 5 = ts_zust_von darf nicht kleiner als das aktuelle Datum - 31 Tage sein.
- 6 = ts_zust_bis darf nicht größer als ts_zust_von + 7 Tage sein.

Export control information

This distribution includes cryptographic software. The country in which you currently reside may have restrictions on the import, possession, use, and/or re-export to another country, of encryption software. BEFORE using any encryption software, please check your country's laws, regulations and policies concerning the import, possession, or use, and re-export of encryption software, to see if this is permitted. See <http://www.wassenaar.org/> for more information.

TRSuite uses the Java Cryptography Architecture (JCA) and the Bouncy Castle libraries for handling de-encryption.