

TAX REPORTING SUITE

CRS

Macau

VERSION 20.11



USERS'S MANUAL

Published: Nov 2020

For the latest information and to leave feedback, please visit Vogele IT-Services at <http://www.section11.ch> or <https://www.trsuite.ch>

The information in this document and any document referenced herein is provided for informational purposes only, is provided AS IS AND WITH ALL FAULTS and cannot be understood as substituting for customized service and information that might be developed by Vogele IT-Services for a particular user based upon that user's particular environment. RELIANCE UPON THIS DOCUMENT AND ANY DOCUMENT REFERENCED HEREIN IS AT THE USER'S OWN RISK.

© 2020 Vogele IT-Dienstleistungen. All rights reserved.

Information in this document, including URL and other Internet Web site references, is subject to change without notice. Unless otherwise noted, the example companies, organizations, products, domain names, e-mail addresses, logos, people, places and events depicted herein are fictitious, and no association with any real company, organization, product, domain name, e-mail address, logo, person, place or event is intended or should be inferred.

VOGELE IT-SERVICES PROVIDES NO WARRANTIES, EXPRESS, IMPLIED OR STATUTORY, AS TO THE INFORMATION CONTAINED IN THIS DOCUMENT AND ANY DOCUMENT REFERENCED HEREIN. Vogele IT-Services provides no warranty and makes no representation that the information provided in this document or any document referenced herein is suitable or appropriate for any situation, and Vogele IT-Services cannot be held liable for any claim or damage of any kind that users of this document or any document referenced herein may suffer. Your retention of and/or use of this document and/or any document referenced herein constitutes your acceptance of these terms and conditions. If you do not accept these terms and conditions, Vogele IT-Services does not provide you with any right to use any part of this document or any document referenced herein.

Complying with the applicable copyright laws is the responsibility of the user. Without limiting the rights under copyright, no part of this document may be reproduced, stored in or introduced into a retrieval system, or transmitted in any form or by any means (electronic, mechanical, photocopying, recording or otherwise), or for any purpose, without the express written permission of Vogele IT-Services.

The names of actual companies and products mentioned herein may be the trademarks of their respective owners.

Content

Revision History	5
The Main Window.....	6
CRS MO: Overview	6
Reference.....	7
CRS Menu Items.....	7
Common UI Objects.....	10
Invalid Fields	10
CLEAR Buttons	10
POP-OVER Buttons.....	10
Update Document or Message References IDs	10
CLEAR Lists	10
Data Validation	10
File Information	10
Default Values.....	10
Address	11
IN/TIN-List.....	11
General Info Tab	11
Statistics.....	12
Validation Checks.....	12
Generate Document Reference IDs	13
Account Holder List.....	13
Payments	14
Controlling Person List	15
Search Account Holder	16
CRS Configuration	17
CRS Common Configuration.....	17
Configuration Button Bar	17
File Information	18
Loading and Importing Data	18
Import MO CRS XML File.....	19
Excel Import.....	19
MO CRS Excel-Template Configuration.....	19
Import XLSM to generate MO CRS XML.....	21

Add data to current report 22

Create Delivery Package 22

 Configuration 22

 Generate Upload File 23

Appendix..... 25

 Export control information 25

Revision History

Product Version	Document Version	Revision Date	Description
1801	Rev 0	20.01.2018	Initial Release
1802	Rev 1	27.02.2018	Excel import performance improvements
1803	Rev 2	21.03.2018	Add data feature
1807	Rev 3	31.07.2018	Support for schema 1.2, TRSuite work item
1812	Rev 4	17.12.2018	No changes
1902	Rev 5	12.02.2019	Typos corrected
1911	Rev 6	07.11.2019	Minor fixes, stability improvements
20.01	Rev 7	20.01.2020	https://www.easycrs.ch/index.php/8-trsuite/12-trsuite-release-notes-version-20-01
20.02	Rev 8	24.02.2020	https://www.easycrs.ch/index.php/8-trsuite/13-trsuite-release-notes-version-20-02
20.11	Rev 9	24.11.2020	https://www.easycrs.ch/index.php/8-trsuite/17-trsuite-release-notes-version-20-11

The Main Window

The Main window is the launch point for the entire application. You can select the licensed module in the modules button bar and perform the module specific tasks within main area of the module.

Each module comes with its own module menu and detail area.

Modules Button Bar – shows all available modules of the software.

Menu options

Module Menu – module specific menu options

Module Main Area – the main working area of a module, where all module specific tasks can be executed

Module Details Area – includes general information and details for the selected module

CRS MO: Overview

This guide provides information about the Macau specific extension of the CRS module of the TRSuite software package. It describes processes and procedures for importing and exporting CRS OECD XML 1.0 files compatible with the Macau schema extensions.



This document may describe features and capabilities that requires a separate license and are not covered by the standard license. For information about licensing please contact support@section11.ch

The CRS module includes the following:

- General Info Tab
- Account Holder List
- Delivery Package
- Configuration

This document will help explain how each option functions.

The screenshots throughout the USER’S MANUAL are produced on Windows 10 using the Windows Look and Feel.

In addition to this Users Guide, the following online resources may be useful:

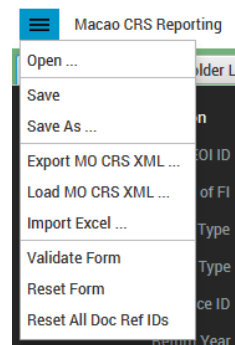
- Macau AEOI DSF AEOI portal
<http://www.dsf.gov.mo/AEOI/?lang=zh>
- Schema Definition
http://www.dsf.gov.mo/download/AEOI/sysDocs/XMLSchema_v1.1.zip
- OECD AEOI portal
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>
- The Common Reporting Standard
<http://www.oecd.org/ctp/exchange-of-tax-information/standard-for-automatic-exchange-of-financial-account-information-for-tax-matters-9789264216525-en.htm>
- The CRS XML Schema User Guide
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/schema-and-user-guide/#d.en.345315>

Reference

The following information is used to generate a Macau CRS XML compliant document (http://www.dsf.gov.mo/download/AEOI/sysDocs/C_UserMaua1_v1.1.pdf). Before filing a CRS Report, please ensure that you are familiar with your country specific Guidance Notes.

CRS Menu Items

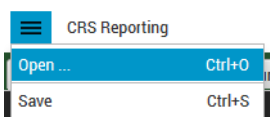
The CRS menu includes the following elements



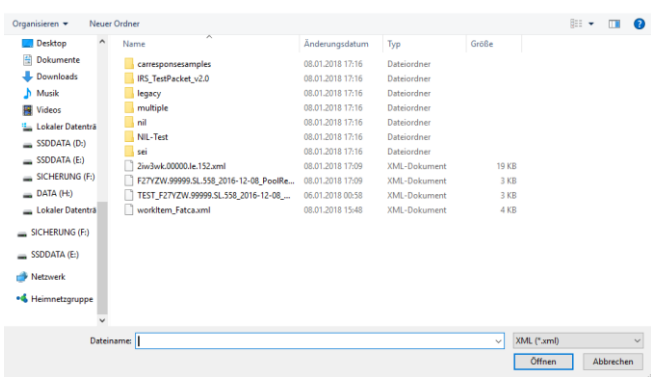
Menu Options	Description
--------------	-------------

Open ...

Once you've saved a document, you may want to access it again to make changes or correct it out. From the menu, choose "Open ..."



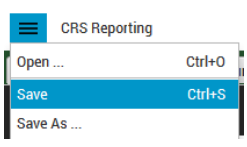
An 'Open' dialogue box will pop up. From this, find your way to the folder in which your document is saved. Folders are shown down the left-hand side of the dialogue box. Once you've located your document and clicked on it to select it, its name will be shown in the 'File name' box at the bottom of the dialogue box.



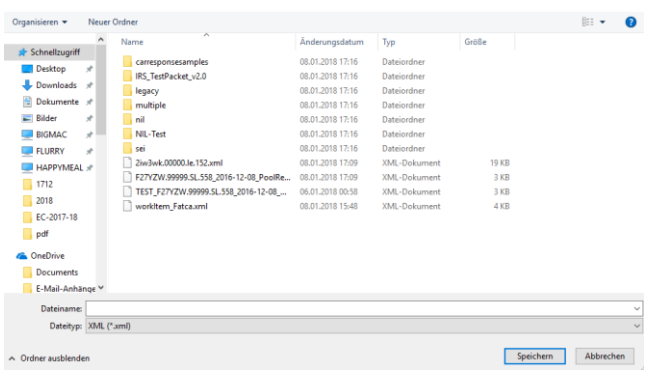
Click **Open**, the document will then be opened.

Save

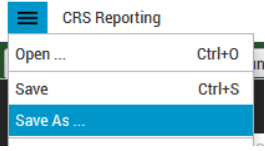
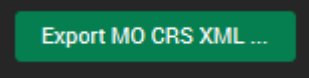
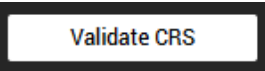
From the menu, choose "Save" to save the current work.



A 'Save' dialogue box will come up, if pressed the first time. At the top and at the left-hand side in the list of folder options, it will show the folder where you'll be saving the document. If you wish to change this folder, navigate through the folders on the left-hand side of the dialogue box to choose the one where you want to save your document.



Type a name for your document in the 'File name' box. Come up with a name that is concise but will allow you to find the document easily again.

	<p>Once you have typed in the name of your document, click Save</p> <p>Your document will now have a name, which will be shown at the right file info screen. If you make changes to your document and then save them after it has been saved originally, the dialogue box will not come up again. It will just save your changes without any visual notification being shown.</p>
<p>Save As ...</p>	 <p>The 'Save As' menu is used to save an existing document under another name. This is helpful if you've made changes to your document and then want to save the changes, but also keep the original document in its original format and under its original name.</p>
<p>Export MO CRS XML ...</p>	<p>The "Export MO CRS XML" method persists the current CRS report to the user selected path.</p> <p>The same functionality is provided by the according button in the General Form Info Tab</p> 
<p>Load MO CRS XML ...</p>	<p>Loads the user selected CRS OECS XML file. All current values are replaced.</p>
<p>Import Excel ...</p>	<p>Loads the user selected CRS-TRSuite-Excel file. Existing values in the CRS main area will be replaced.</p>
<p>Add Data ...</p>	<p>Allows to concatenate account reports from different data sources (XML or XLMS).</p> <p>Hint: When adding data from a file, only the account and reporting FI is imported, no additional sender information</p>
<p>Reset Form</p>	<p>The Reset Form menu resets the values of all elements in the CRS main area.</p>
<p>Reset All Doc Ref IDs</p>	<p>This menu item generates new document reference IDs for all elements within the current CRSOECD report.</p>
<p>Validate Form</p>	<p>The same functionality is provided by the according button in the General Form Info Tab</p> 

Common UI Objects

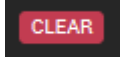
Invalid Fields

Some text fields expect specific values or formatted text, e.g. GIIN- or date-fields. If an invalid value/format is entered the text fields is marked red:



CLEAR Buttons

A CLEAR-button resets the values of all elements in the according logical region.



POP-OVER Buttons

If a button is colored with a blue background, more data is available. The black background indicates an empty data set.



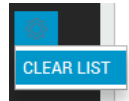
Update Document or Message References IDs

As the document reference ID is depending on the Country-Specific rules, there is the possibility to update the document reference IDs if required, for instance after changing the IN. Either update each single ID by pressing the ADD button for the specific field or updating all IDs by choosing the Form8966 menu item „Reset All Document Reference IDs”.



CLEAR Lists

Table views like the Pool or Account Holder List have a separate menu to clear their content. By pressing the CLEAR LIST menu item all elements from the table are removed.



Data Validation

Entered data is continuously validated. If the data is not complete (all mandatory fields filled) or in a wrong format the warning symbol is displayed next to the affected section label.

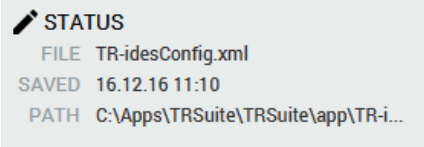


If the section is complete and correct, the green checkbox symbol is shown next to the label.



File Information

After a file is loaded or saved, the information about the file (name, last modified, absolute path) is displayed in the status pane of the detail area. The full path can be seen in the tooltip.



Default Values

Whenever an empty Country Code or Currency Code field is selected by the user, the default value as defined within the configuration tab is entered.

Address

The Address_Type allows free text input of the address for any individual or organization Included in the report (e.g., reporting FI, account holder, substantial owner). There are two available options, AddressFree or AddressFix with supplemental optional AddressFree. AddressFix should be used for all OECD reporting; however, you may select AddressFree to enter the data in a less structured format.

This data element allows free text input of the address for the individual or organization. AddressFree should only be used if the data cannot be presented in the AddressFix format and the sender cannot define the various parts of the address.

- The address shall be presented as one string of bytes, blank, slash (/) or carriage return line feed used as a delimiter between parts of the address.
- AddressFree can be used as a supplemental element after the AddressFix element and when the AddressFix format is selected for address.

The Fix Address element allows input in fixed format for the address for the individual or organization.

- Enter the address and if additional information is needed, use the supplemental AddressFree element. In this case, the city, sub entity, and postal code information should be entered in the appropriate data elements.
- All elements are optional, except the City element which is required for schema validation.

IN/TIN-List

This data element identifies the receiving tax administration Identification Number (T/IN) for the individual account holder or substantial owner and the attribute identifies the jurisdiction that issued the TIN. For FATCA reporting a blank issuedBy attribute field will be assumed to indicate the issuing jurisdiction is the United States(US). In this case, only the text field needs to be entered.

In case of different issuedBy jurisdiction or multiple IN/TINs the IN/TIN List allows input of those.

HK TIN Format verified against the following regular expression: `[A-Z]{2}[0-9]{5}`

General Info Tab

The General Form Info contains all information to identify the message. Information in the message header identifies the FI that is sending the message. It specifies the Name of the FI, when the message was created, what period (normally a year) the report is for, and the nature of the report (i.e. new or corrected data).

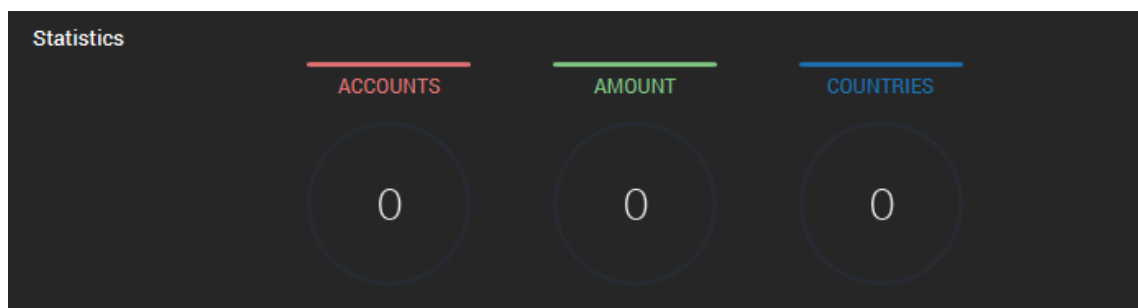
The values are validated in real time and an indication next to the label gives immediate feedback, if the section is complete and valid.

A message reference ID is automatically generated, when the report is saved. The timestamp of the message cannot be modified and is generated, whenever the file is saved.

Furthermore, some statistics about the current report are displayed and the data can be validated and exported.

Statistics

The statistics section gives a brief overview about the number of accounts, the sum of all account balances (ignoring different currencies) and the number of different residence country codes. The tooltip of the countries gauge shows a list of the countries.



Validation Checks

Before exporting data, it is recommended to validate the entered values by pressing the “Validate CRS” button. Following validations are performed by the application:

Validate CRS

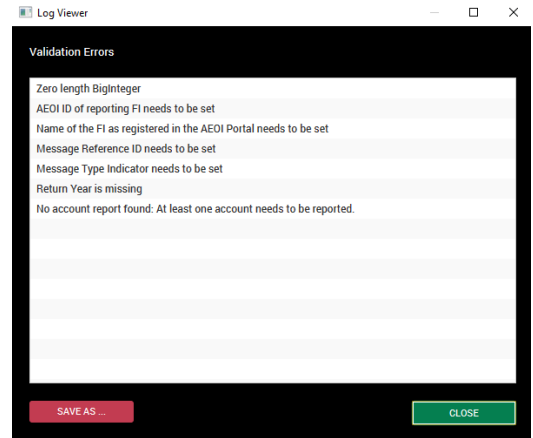
- If all mandatory fields are set, e.g. address and message reference ID
- If valid IN/TINs are entered
- If the reporting period format is correct
- If all mandatory fields are set, e.g. address and message reference ID
- If the entered country codes are valid
- Country specific business rules (as defined in the Configuration Tab)

Examples for country specific business rules TRSuite could check on record-level:

- Account Balance \geq \$0
- Account closed \Rightarrow amount must be set to 0

- Address validation, at minimum one type is entered and fix address contains at least City/Town field
- Country Code set for Sponsor/Intermediary
- Date of Birth format validation
- Name of reporting FI provided
- Account Holder name provided
- Account number is entered

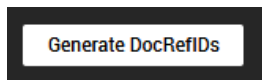
If invalid content is found, a detailed error message is displayed. Error reports are now displayed in a non-modal (non-blocking) window and can kept open while the errors are corrected. Furthermore, the list can be exported for later reference. The supported export format is XLS.



Generate Document Reference IDs

Compared to FATCA reporting the generation of a document reference ID is not always straight forward as different jurisdictions define their own rules. The rules can depend on different data, the user needs to enter, before a valid ID can be generated. E.g. reporting period, receiving country code or reporting FI IN.

Therefore, it is a good practice to 1st enter the required data and as a last step, before the validation use the provided functionality to generate all document reference IDs by pressing the “Generate DocRefIDs” button.

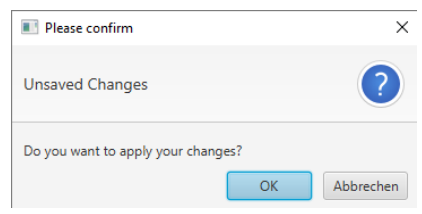


Account Holder List

The account holder list tab contains detailed information on the accounts or payments required to be reported. The account report contains the account holder, account balance and other related account information as specified in the schema definition.

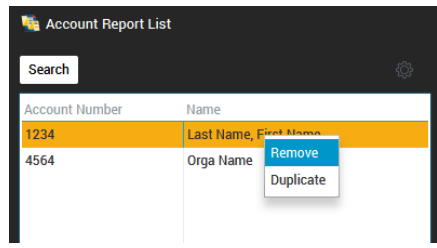
The entered values are continuously evaluated and errors are reported in the details text area on the lower left side. The account holder can be added to the account report list by pressing the now enabled "ADD TO LIST" button. Selecting the entry in the list lets you change and update the account holder data.

If data is changed, but not updated before selecting another entry or creating a new one, the following notification pops up:

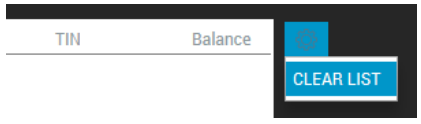


Pressing "OK" applies the changes and updates the item.

A single entry in the list can be removed or duplicated by right-clicking the corresponding entry and selecting the remove menu option from the displayed context menu.



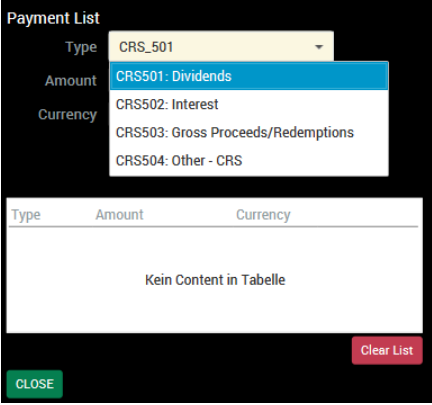
The whole list can be cleared by selecting the "CLEAR LIST" menu option.



Payments

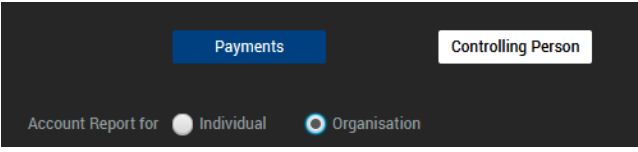
This data element provides account data on certain payments made to an account, payee, or owner. More than one payment type may be reported. The entered values are continuously evaluated and once all mandatory fields are filled with valid data, the payment data can be added to the payments list by pressing the now enabled “ADD” button. Selecting the entry in the list let you change and update the payment data.

A single entry in the list can be removed by right-clicking the according entry and selecting the remove menu option from the displayed context menu. The whole list can be cleared by pressing the “CLEAR LIST” button.

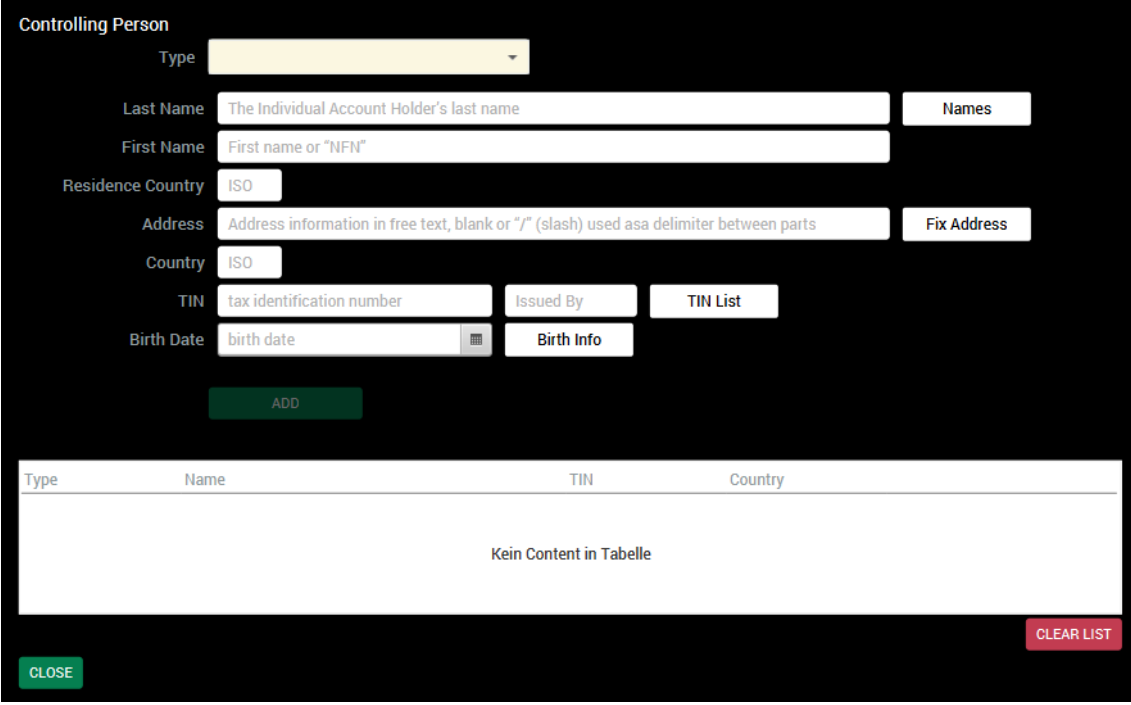


Controlling Person List

Only available, if the account holder is an organization. If the account holder is an individual, the “Controlling Person” button is not visible.



This data element identifies certain owners of the account holder or payee. The entered values are continuously evaluated and once all mandatory fields are filled with valid data, the controlling person can be added to the list by pressing the now enabled “ADD” button. Selecting the entry in the list let you change and update the controlling person.



A single entry in the list can be removed by right-clicking the according entry and selecting the remove menu option from the displayed context menu. The whole list can be cleared by pressing the “CLEAR LIST” button.

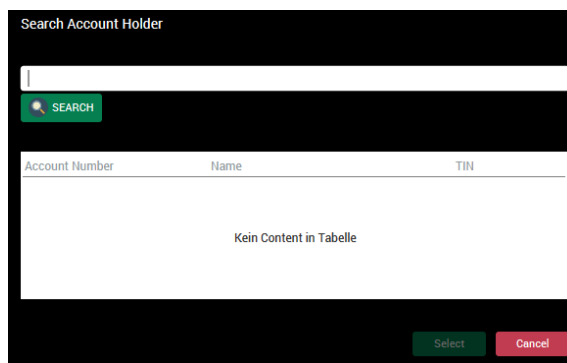
Search Account Holder

Within the account reporting dialog, it is possible to search for an existing account holder. Pressing the “Search”-Button opens the search dialog:

- Enter the search term
- Press the “SEARCH”-Button
- Select the matching result entry and
- Press “Select” to display the accountholder or “Cancel” to discard the search result

Following fields are taken into consideration for the search:

- Account number
- Document Reference ID
- TIN
- Name, if organization
- Last Name, if individual
- First Name, if individual
- Name of organization



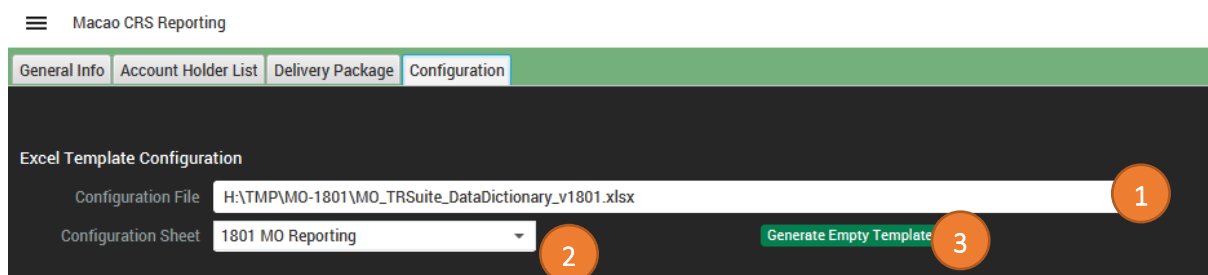
If you require any other field, please contact: support@section11.ch

After selecting the according account holder from the list, the dialog can be closed. The selected entity is display within the account holder tab.

CRS Configuration

STRSuite Version 1706 introduces the support of configurable excel templates to import data into the solution. Each template requires its own configuration sheet. The TRSuite HK module comes with a pre-defined configuration/template.

For the 1st time configuration, select the provided (or your own) configuration file (1) and select the configuration sheet matching the excel template you want to use for import (2).



The “Generate Empty Template” button (3) enables the user to generate an empty excel template based on the selected configuration sheet. This is typically used, to verify the template, if you customize the configuration sheet.

The customization is described in more detail later in the loading and importing data chapter.

CRS Common Configuration

Default values for the working directory



- 1) The default working directory, where all generated artifacts are stored.

Configuration Button Bar

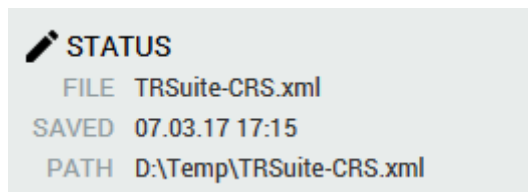


- **“Load”** You can open existing configuration files by pressing the Load-Button. In the dialog box browse to select a document and click “Open” to load the document.
- **“Save as ...”** Saves the current configuration in a different location, or with a different file name or file type. Opens a file chooser dialog to let you browse to the location where you want to save the document.
- **“Save”** Saves the current configuration to the last selected file or default location. The data is stored within an XML-File and automatically available the next time you start the program. If there are unsaved changes the save-button is colored green.
- **“Reset”** loads the last saved configuration and overwrites all unsaved changes.

- By pressing the “**Validate**”-Button the tool loads the given keys, validates the entered data and shows the result of the validation within a popup window.

File Information

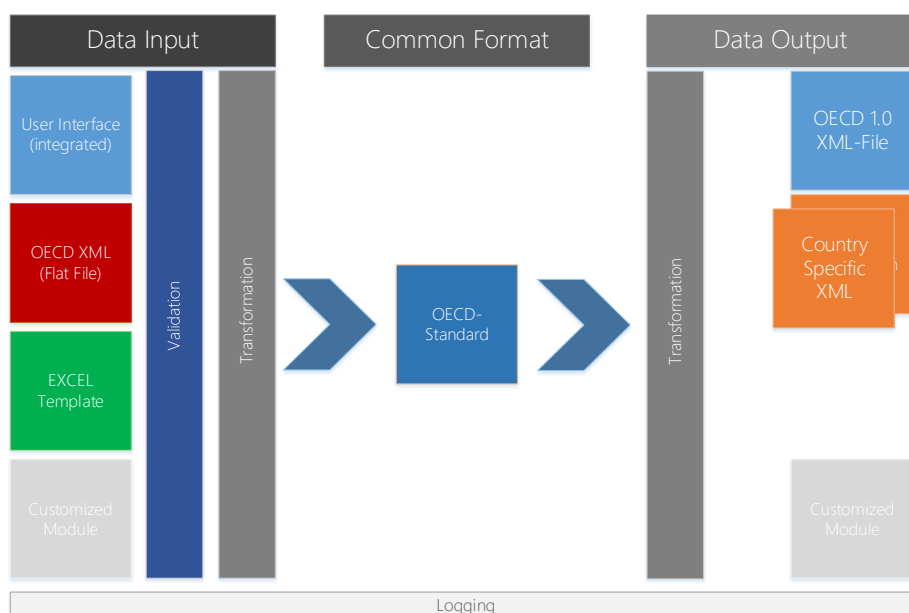
After a configuration is loaded or saved, the information about the file (name, last modified, absolute path) is displayed in the status pane of the detail area. The full path can be seen in the tooltip.



Loading and Importing Data

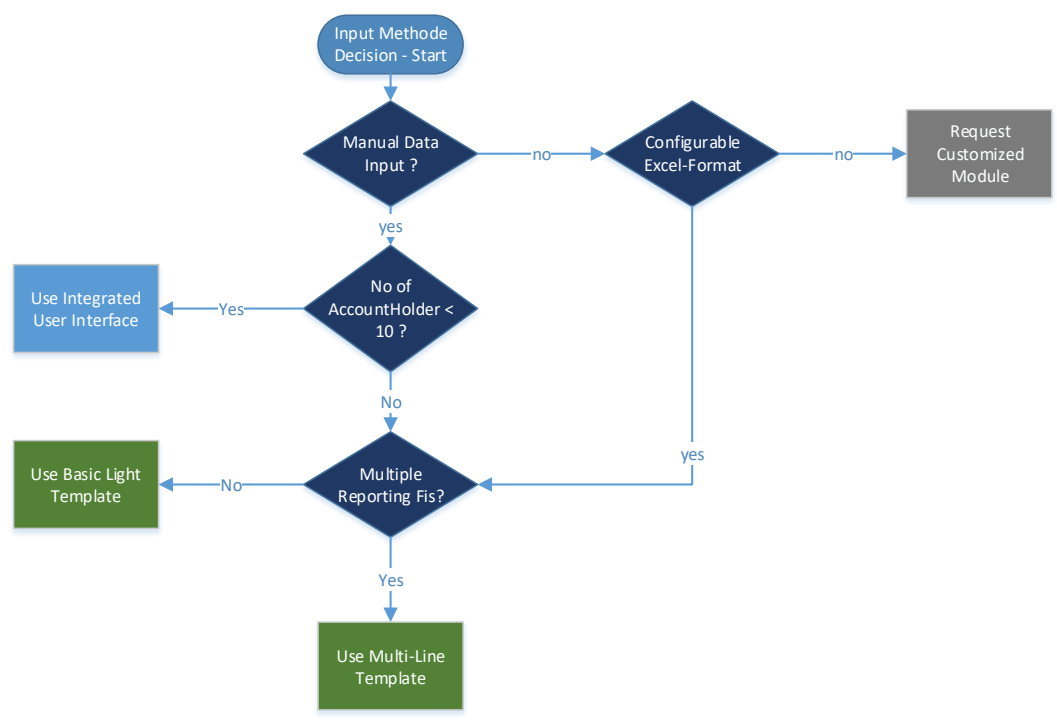
TRSuite supports different methods to import/add data to generate an OECD XML 1.0 compliant file:

- Use the provided Excel templates
- Generate and configure your own Excel template
- Input the data interactively over the User Interface
- OECD XML 1.0 files
- Request a customized importer fitting your company needs (csv, xml, ...)



Once the data is entered, TRSuite transforms the data into a OECD 1.0 XML file. Additional modules are available to generate country specific reporting formats, such as Austria, Germany, Hong Kong.

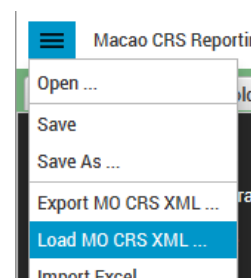
The following workflow should guide you, what import method to choose.



Import MO CRS XML File

The “Load MO CRS XML ...” option of the module menu allows the user to load existing HK CRS reports. Existing data in the module is cleared.

Choosing the load option opens a file selection dialog and a valid MO CRSXML 1.1 file can be selected for import.

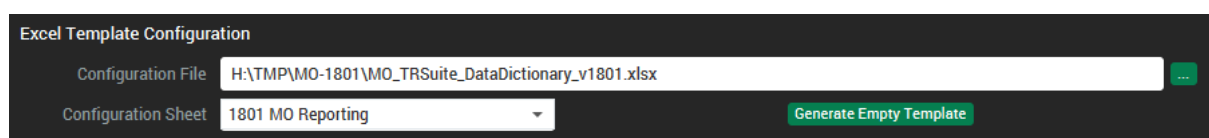


Excel Import

The most commonly used function to load data into TRSuite is to use the provided or a customized Excel template. The following chapter describes the required configuration and import process.

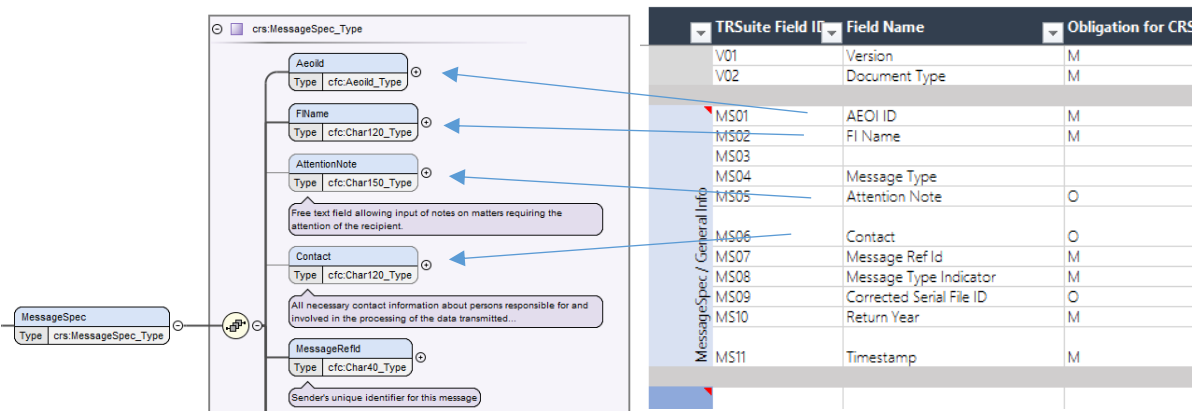
MO CRS Excel-Template Configuration

Before an excel template can be imported the application needs to know how to map the spreadsheet data to the MO CRS format. This can be achieved by loading a so-called data dictionary and selecting the right configuration sheet for your purpose.



A standard data dictionary and template is delivered with the product.

Each possible MO CRS entity has its TRSuite Field ID. This is the key used to map data from the excel sheet to the XML format. The following example show the mapping of the MessageSpec_Type element.



The relevant columns next to the ID are:

Used	Sheet	Column	Row	Multi-L
yes	General	D	5	no
yes	General	D	2	no

- Used:
 - yes->the field is loaded during the import
 - no->the field is ignored
- Sheet: data sheet holding the value
- Column: Column of the data field
- Row: Row of the data field (in case of a multi-line field this is the 1st row)
- Multi-Line:
 - no->this is a single data entry
 - yes->this field occurs multiple times

Example 1: Single Field reporting FI name:

TRSuite Field ID	Field Name	Obligation for CRS	Text	Used	Sheet	Column	Row	Multi-L
MS02	FI Name	M	Text	yes	General	D	4	no
MS01	AEOI ID	M	Text	yes	General	D	5	no

GENERAL INFO (MESSAGE SPEC)			
MS02	Name		Hong Kong Test FI
MS01	AEOI ID		HK12345
V02	Document Type	New Test Data	OECD11
MS10	Return Year	(YYYY)	2017
MS08	Message Type	New information	CRS701
MS07	Message Ref ID		

Example 2: Multi-Line, Multi-Sheet field Reporting FI Name

This field is located in two sheets. In this case the Sheet/Column and Row cell holds multiple values using the minus character as separator.

Field Name	Obligation for CRS	Type / Format	Used	Sheet	Column	Row	Multi-L	
FI01	Name	M	Text	yes	Individuals_List-Organisations_List	B-B	6-6	yes

Reporting FI	Name	Name Type	Country Code	City	District Name
FI01	FI 1		2017		
FI02	FI 2				
FI03					
FI04					
FI05					

Import XLSM to generate MO CRS XML

The “Import Excel ...” option of the CRS menu allows the user to load data from our provided excel templates. Any existing data in the module is cleared.

Selecting the load option opens a file chooser dialog, enabling the user to select a filled excel file. The file is then analyzed and all available data is displayed in the CRS main area. Errors or warnings are displayed in the details area and a dialog.

The provided Excel template is available for download at: <http://www.section11.ch/downloads.html>

Extract the zip file to a local directory and extract the content. The file contains 6 different sheets to enter the required data:

Sheet	Description
Disclaimer	Disclaimer and Copyright Information
Overview	Short description of the document
General	General Information: Message and Filer Information
Individuals_List	Individual Account Holders (multiple individual accounts entry)
Organisations_List	Organizational Account Holders (multiple entity accounts entry)
Reference Data	List of values used in drop-down lists (for your reference only)

General

Field Name	Obligation for CRS	Type / Format	Used	Sheet	Column	Row	Multi-L	
FI01	Name	M	Text	yes	Individuals_List-Organisations_List	B-B	6-6	yes

Field Name	Obligation for CRS	Type / Format	Used	Sheet	Column	Row	Multi-L	
FI01	Name	M	Text	yes	Individuals_List-Organisations_List	B-B	6-6	yes

Individuals List

	B	C	D	E	F	G	H	I	J	K	L	M	
1	Clear List												
2	Individual / Natural Person												
3	AC01	AC02	AC03	AC04	AC05	AC09	AC10	IN07	IN08	IN10	IN01	IN02	
4	ACCOUNT							ACCOUNT HOLDER					TIN INFO
5	Account Number	Account Type	Closed	Dormant	Undocumented	Corr. Doc Ref ID	Corr. Account Number	First Name	Middle Name	Last Name	Tax Residence	TIN	
6	ACCT-1	OTHER						First Name 1		Last Name 1	CH	5678678	
7	ACCT-2	OTHER	true					First Name 2		Last Name 2	DE	08888880	
8													

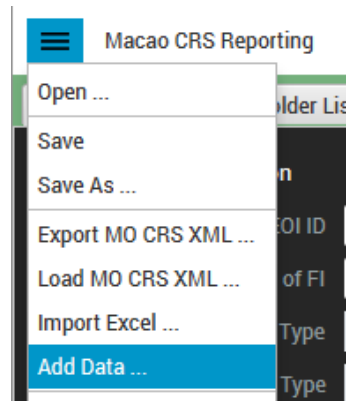
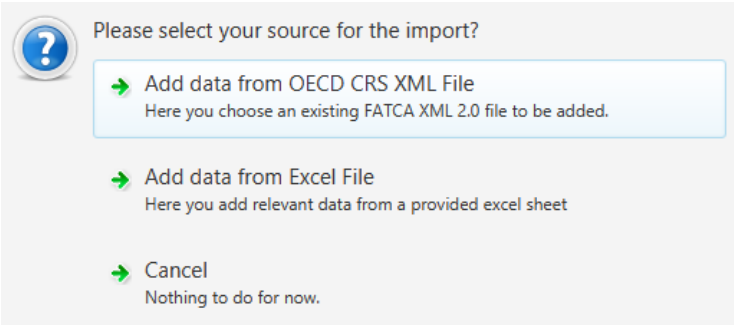
Organizations (non-natural persons) List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	Clear List													
2	Organisation													
3	AC01	AC02	AC03	AC04	AC05	AC09	AC10	OR05	OR07	OR01	OR03	OR03	OR04	OR
4	ACCOUNT							ACCOUNT HOLDER		IN INFO		IN INFO		AI
5	Account Number	Account Type	Closed	Dormant	Undocumented	Corr. Doc Ref ID	Corr. Account Number	Name	Account Holder Type	Tax Residence	IN	Issued by	IN Type	Bl
6	ORG-ACCT-1	OTHER						Organisation 1	CRS101	LI				
7														
8														

Add data to current report

The “Add ...” option of the CRS menu allows the user to concatenate account reports from different XML- or XLSM-sources. Existing data in the module is **NOT** cleared.

Selecting the add option opens the following selection dialog:



A file chooser opens, enabling the user to select a filled excel or XML-file. The file it is then analyzed and all available account- and reporting FI data is added to the existing data. Errors or warnings are displayed in the details area and a dialog.

Create Delivery Package

Within the “Delivery Package” Tab the user can enter all required information to generate a valid transmission archives including all relevant files.

Configuration

The configuration part allows the user to enter all required information needed to generate a valid transmission archive.

Configuration

Path to Private Key

Password Private Key

Path to FSB Public Key

Working Directory

- 1) Your public key certificate received from eTrust
- 2) Optional: Password for your PEM/PFX/P12 file
- 3) Optional: The public key provided by the FSB. If no value is entered, the most recent official FSB key is used, which comes with the product
- 4) The default working directory, where all generated artifacts are stored.

Generate Upload File

The Payload section let the user select the XML file(s) to be processed:

Generate Upload File

Payload Path

Overwrite Files

Output Directory

All generated files will be written to the following folder structure within the selected output directory.

<input type="checkbox"/> failed	15.12.2016 1	The processed XML file is either copied to the processed folder, if the transmission archive was successfully generated or to the failed folder, if the file could not be processed properly.
<input type="checkbox"/> processed	15.12.2016 1	
<input type="checkbox"/> temp	15.12.2016 1	
<input type="checkbox"/> upload	15.12.2016 1	

The generated transmission archive is moved to the upload folder. The temp folder contains all artifacts generated during the processing. All temporary output is stored in a new folder created within the working directory temp folder. The naming schema for the new folder is simply the UTC-timestamp. The generated transmission archive is named, following the MO guidelines.

Following steps are executed during the creation process:

- 1) Validation of the payload xml file against the MO v1.1 xsd schema.
- 2) Signing the payload xml with the FI's private key
- 3) Compressing the signed payload xml
- 4) Encrypting the compressed payload file with a one-time AES-256 key
- 5) Encrypting the AES key with the FSB public key
- 6) Creating the transmission archive and write all output to the working directory

GENERATION

Validate the XML file 1.1 ▾

Digitally sign the file

Compress the XML file

Encrypt XML file with AES-KEY

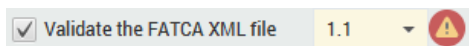
Encrypt AES key with FSB PK

Create the transmission file

If the process step executes without errors a success symbol is displayed next to the step label.



If the step fails, a warning symbol is shown.



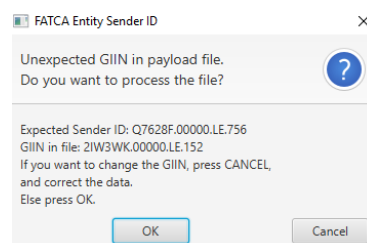
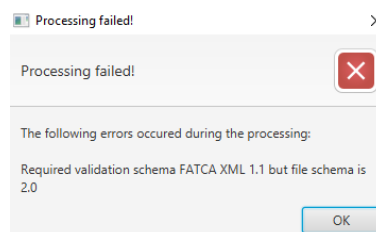
More information about the result is displayed in the status area below the process steps.

In case of an error, a localized error message is displayed within a notification dialog. The language of the message depends on your OS settings. For instance, if you use a German version of Windows the message is display in German.

A more detailed (but technical) error message is written to the log file.

During the process the Reporting FI GIIN in your payload file is compared with the GIIN entered in the entity sender ID field. If the values do not match, a warning is displayed. By pressing the “OK”-Button the mismatch is confirmed and the process continues. By pressing the “Cancel”-Button the process is stopped and the error can be corrected.

After the successful generation, the file path of the transmission archive is set to the “Transmission Archive” field in the Upload section.



Export control information

This distribution includes cryptographic software. The country in which you currently reside may have restrictions on the import, possession, use, and/or re-export to another country, of encryption software. BEFORE using any encryption software, please check your country's laws, regulations and policies concerning the import, possession, or use, and re-export of encryption software, to see if this is permitted. See <http://www.wassenaar.org/> for more information.

TRSuite uses the Java Cryptography Architecture (JCA) and the Bouncy Castle libraries for handling de-encryption.