

TAX REPORTING SUITE

CRS

Macao

VERSION 26.02



USERS'S MANUAL

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Content

Revision History	5
The Main Window	6
CRS MO: Overview	6
Reference	7
CRS Menu Items	8
Invalid Fields	11
CLEAR Buttons	11
POP-OVER Buttons	11
Update Document or Message References IDs	11
CLEAR Lists	11
Data Validation	11
File Information	11
Default Values	12
Address	12
IN/TIN-List	12
General Info Tab	13
Statistics	13
Validation Checks	14
Generate Document Reference IDs	14
Account Holder List	15
Payments	16
Controlling Person List	16
Search Account Holder	17
CRS Configuration	17
CRS Common Configuration	18
Configuration Button Bar	18
File Information	18
Loading and Importing Data	19
Import MO CRS XML File	20
Excel Import	20
Data Entry and Validation in the Template	20
MO CRS Excel-Template Configuration	21
Import XLSM to generate MO CRS XML	22
Add data to current report	24
Create Delivery Package	24

Configuration	24
Generate Upload File.....	24
Appendix.....	26
Export control information.....	26

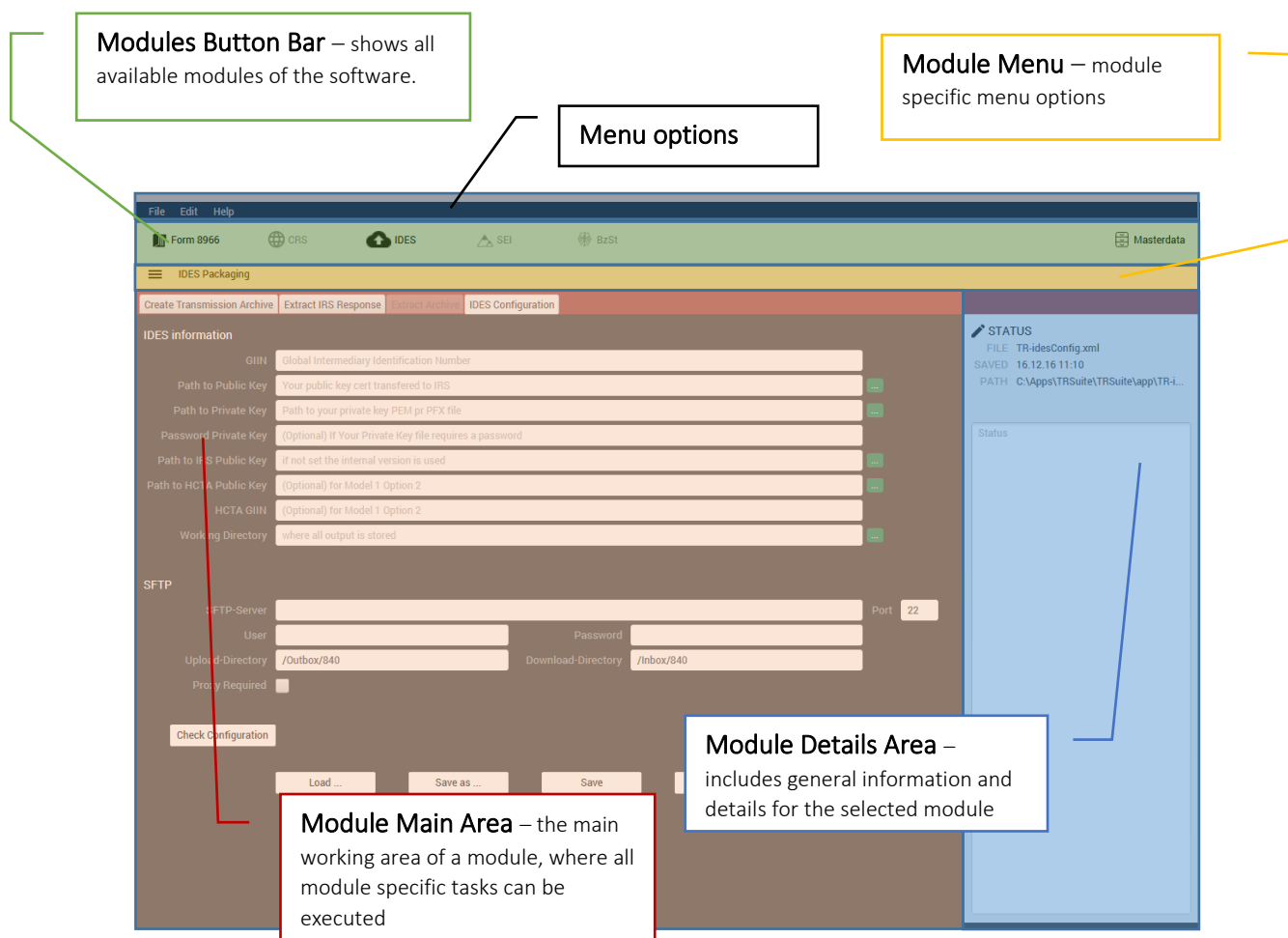
Revision History

Product Version	Document Version	Revision Date	Description
1801	Rev 0	20.01.2018	Initial Release
1802	Rev 1	27.02.2018	Excel import performance improvements
1803	Rev 2	21.03.2018	Add data feature
1807	Rev 3	31.07.2018	Support for schema 1.2, TRSuite work item
1812	Rev 4	17.12.2018	No changes
1902	Rev 5	12.02.2019	Typos corrected
1911	Rev 6	07.11.2019	Minor fixes, stability improvements
20.01	Rev 7	20.01.2020	https://www.easycrs.ch/index.php/8-trsuite/12-trsuite-release-notes-version-20-01
20.02	Rev 8	24.02.2020	https://www.easycrs.ch/index.php/8-trsuite/13-trsuite-release-notes-version-20-02
20.11	Rev 9	24.11.2020	https://www.easycrs.ch/index.php/8-trsuite/17-trsuite-release-notes-version-20-11
21.01	Rev 10	01.02.2021	https://www.easycrs.ch/index.php/8-trsuite/18-trsuite-release-notes-version-21-01
26.02	Rev 11	06.09.2026	https://www.trsuite.ch/trsuite/ReleaseNotes/RELEASE_NOTES_26.02.en.html

The Main Window

The Main window is the launch point for the entire application. You can select the licensed module in the modules button bar and perform the module specific tasks within main area of the module.

Each module comes with its own module menu and detail area.



CRS MO: Overview

This guide provides information about the Macau specific extension of the CRS module of the TRSuite software package. It describes processes and procedures for importing and exporting CRS OECD XML 1.0, 2.0 and 3.0 files compatible with the Macau AEOI schema extensions (v1.1 to v1.4).



This document may describe features and capabilities that requires a separate license and are not covered by the standard license. For information about licensing please contact support@section11.ch

The CRS module includes the following:

- General Info Tab
- Account Holder List
- Delivery Package
- Configuration

This document will help explain how each option functions.

The screenshots throughout the USER's MANUAL are produced on Windows 11 using the Windows Look and Feel.

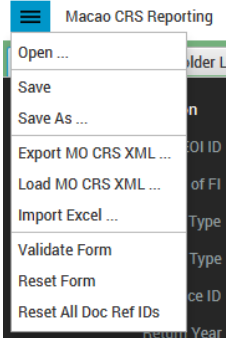
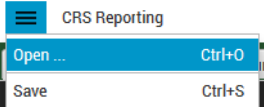
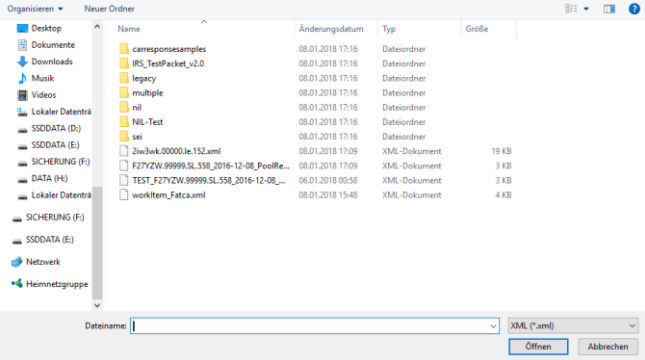
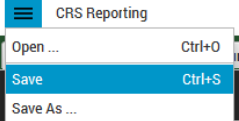
In addition to this Users Guide, the following online resources may be useful:

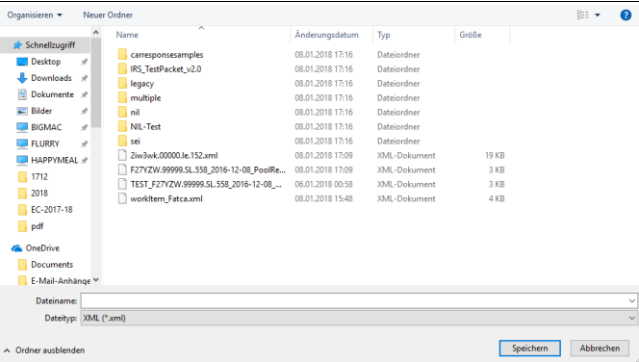
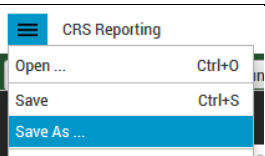
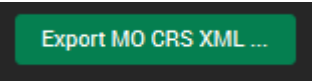
- Macau AEOI DSF AEOI portal
<https://www.dsf.gov.mo/en/tax-system/aeoi/crs>
- Schema Definition
https://www.dsf.gov.mo/uploads/dsf/20260715/XMLSchema_v1.4.zip
- OECD AEOI portal
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>
- The Common Reporting Standard
<http://www.oecd.org/ctp/exchange-of-tax-information/standard-for-automatic-exchange-of-financial-account-information-for-tax-matters-9789264216525-en.htm>
- The CRS XML Schema User Guide
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/schema-and-user-guide/#d.en.345315>

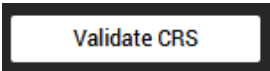
Reference

The following information is used to generate a Macau CRS XML compliant document ([https://www.dsf.gov.mo/uploads/dsf/20251203/XMLSchemaUserGuide_v1.4\(3\).pdf](https://www.dsf.gov.mo/uploads/dsf/20251203/XMLSchemaUserGuide_v1.4(3).pdf)). Before filing a CRS Report, please ensure that you are familiar with your country specific Guidance Notes.

The CRS menu includes the following elements

Menu Options	Description
Open ... 	Once you've saved a document, you may want to access it again to make changes or correct it out. From the menu, choose “Open ...”  An 'Open' dialogue box will pop up. From this, find your way to the folder in which your document is saved. Folders are shown down the left-hand side of the dialogue box. Once you've located your document and clicked on it to select it, its name will be shown in the 'File name' box at the bottom of the dialogue box.  Click Open, the document will then be opened.
Save	From the menu, choose “Save” to save the current work.  A 'Save' dialogue box will come up, if pressed the first time. At the top and at the left-hand side in the list of folder options, it will show the folder where you'll be saving the document. If you wish to change this folder, navigate through the folders on the left-hand side of the dialogue box to choose the one where you want to save your document.

	 Type a name for your document in the 'File name' box. Come up with a name that is concise but will allow you to find the document easily again. Once you have typed in the name of your document, click Save Your document will now have a name, which will be shown at the right file info screen. If you make changes to your document and then save them after it has been saved originally, the dialogue box will not come up again. It will just save your changes without any visual notification being shown.
Save As ...	 The 'Save As' menu is used to save an existing document under another name. This is helpful if you've made changes to your document and then want to save the changes, but also keep the original document in its original format and under its original name.
Export MO CRS XML ...	The "Export MO CRS XML" method persists the current CRS report to the user selected path. The same functionality is provided by the according button in the General Form Info Tab 
Load MO CRS XML ...	Loads the user selected CRS OECS XML file. All current values are replaced.
Import Excel ...	Loads the user selected CRS-TRSuite-Excel file. Existing values in the CRS main area will be replaced.
Add Data ...	Allows to concatenate account reports from different data sources (XML or XLSX).

	Hint: When adding data from a file, only the account and reporting FI is imported, no additional sender information
Reset Form	The Reset Form menu resets the values of all elements in the CRS main area.
Reset All Doc Ref IDs	This menu item generates new document reference IDs for all elements within the current CRSOECD report.
Validate Form	The same functionality is provided by the according button in the General Form Info Tab 

Invalid Fields

Some text fields expect specific values or formatted text, e.g. GIIN- or date-fields. If an invalid value/format is entered the text fields is marked red:

GIIN

INVALID_DATA

CLEAR Buttons

A CLEAR-button resets the values of all elements in the according logical region.

CLEAR

POP-OVER Buttons

If a button is colored with a blue background, more data is available. The black background indicates an empty data set.

Payments

Substantial Owner

Update Document or Message References IDs

As the document reference ID is depending on the Country-Specific rules, there is the possibility to update the document reference IDs if required, for instance after changing the IN. Either update each single ID by pressing the ADD button for the specific field or updating all IDs by choosing the Form8966 menu item „Reset All Document Reference IDs”.

ADD

CLEAR Lists

Table views like the Pool or Account Holder List have a separate menu to clear their content. By pressing the CLEAR LIST menu item all elements from the table are removed.

CLEAR LIST

Data Validation

Entered data is continuously validated. If the data is not complete (all mandatory fields filled) or in a wrong format the warning symbol is displayed next to the affected section label.



If the section is complete and correct, the green checkbox symbol is shown next to the label.

File Information

After a file is loaded or saved, the information about the file (name, last modified, absolute path) is displayed in the status pane of the detail area. The full path can be seen in the tooltip.

STATUS
 FILE TR-idesConfig.xml
 SAVED 16.12.16 11:10
 PATH C:\Apps\TRSuite\TRSuite\app\TR-i...

Default Values

Whenever an empty Country Code or Currency Code field is selected by the user, the default value as defined within the configuration tab is entered.

Address

The Address_Type allows free text input of the address for any individual or organization Included in the report (e.g., reporting FI, account holder, substantial owner). There are two available options, AddressFree or AddressFix with supplemental optional AddressFree. AddressFix should be used for all OECD reporting; however, you may select AddressFree to enter the data in a less structured format.

Free Address	Free address text of the sponsoring entity	Fix Address
--------------	--	-------------

This data element allows free text input of the address for the individual or organization. AddressFree should only be used if the data cannot be presented in the AddressFix format and the sender cannot define the various parts of the address.

- The address shall be presented as one string of bytes, blank, slash (/) or carriage return line feed used as a delimiter between parts of the address.
- AddressFree can be used as a supplemental element after the AddressFix element and when the AddressFix format is selected for address.

The Fix Address element allows input in fixed format for the address for the individual or organization.

- Enter the address and if additional information is needed, use the supplemental AddressFree element. In this case, the city, sub entity, and postal code information should be entered in the appropriate data elements.
- All elements are optional, except the City element which is required for schema validation.

Fix Address	
Suite Identifier	
Floor Identifier	
Building Identifier	
POB	
Street	
Postal Code	
City	
District Name	
Country Subentity	
CLOSE CLEAR	

IN/TIN-List

This data element identifies the receiving tax administration Identification Number (TIN) for the individual account holder or substantial owner and the attribute identifies the jurisdiction that issued the TIN. For FATCA reporting a blank issuedBy attribute field will be assumed to indicate the issuing jurisdiction is the United States (US). In this case, only the text field needs to be entered.

Identification Number	IN	issued by	IN Type
-----------------------	----	-----------	---------

TIN	tax identification number	TIN List
-----	---------------------------	----------

In case of different issuedBy jurisdiction or multiple IN/TINs the IN/TIN List allows input of those.

MO TIN Format verified against the following regular expression: `[A-Z]{2}[0-9]{5}`

General Info Tab

The General Form Info contains all information to identify the message. Information in the message header identifies the FI that is sending the message. It specifies the Name of the FI, when the message was created, what period (normally a year) the report is for, and the nature of the report (i.e. new or corrected data).

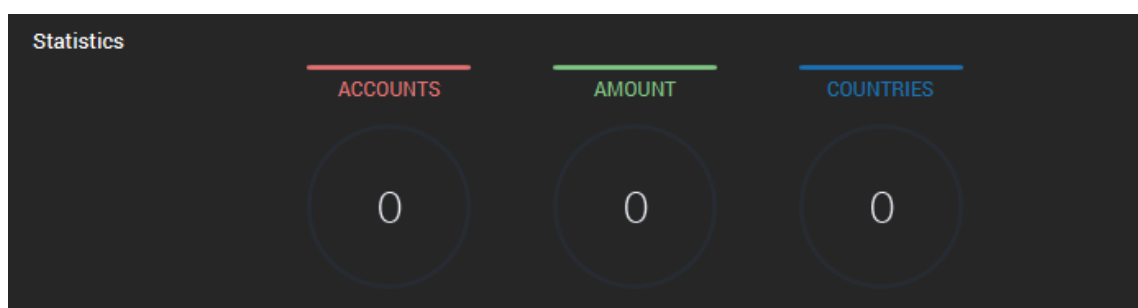
The values are validated in real time and an indication next to the label gives immediate feedback, if the section is complete and valid.

A message reference ID is automatically generated, when the report is saved. The timestamp of the message cannot be modified and is generated, whenever the file is saved.

Furthermore, some statistics about the current report are displayed and the data can be validated and exported.

Statistics

The statistics section gives a brief overview about the number of accounts, the sum of all account balances (ignoring different currencies) and the number of different residence country codes. The tooltip of the countries gauge shows a list of the countries.



Before exporting data, it is recommended to validate the entered values by pressing the “Validate CRS” button. Following validations are performed by the application:

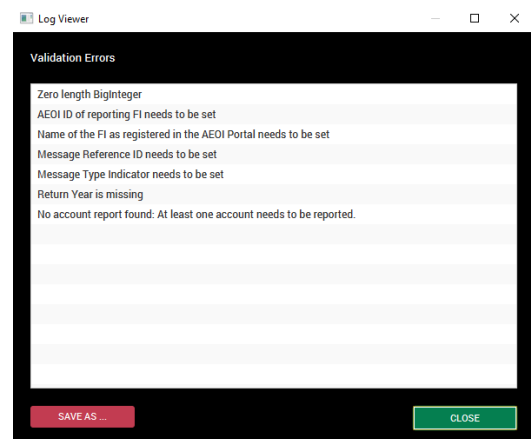
Validate CRS

- If all mandatory fields are set, e.g. address and message reference ID
- If valid IN/TINs are entered
- If the reporting period format is correct
- If all mandatory fields are set, e.g. address and message reference ID
- If the entered country codes are valid
- Country specific business rules (as defined in the Configuration Tab)

Examples for country specific business rules TRSuite could check on record-level:

- Account Balance $\geq$ \$0
- Account closed $\Rightarrow$ amount must be set to 0
- Address validation, at minimum one type is entered and fix address contains at least City/Town field
- Country Code set for Sponsor/Intermediary
- Date of Birth format validation
- Name of reporting FI provided
- Account Holder name provided
- Account number is entered

If invalid content is found, a detailed error message is displayed. Error reports are now displayed in a non-modal (non-blocking) window and can be kept open while the errors are corrected. Furthermore, the list can be exported for later reference. The supported export format is XLS.



Generate Document Reference IDs

Compared to FATCA reporting the generation of a document reference ID is not always straight forward as different jurisdictions define their own rules. The rules can depend on different data, the user needs to enter, before a valid ID can be generated. E.g. reporting period, receiving country code or reporting FI IN.

Therefore, it is a good practice to 1st enter the required data and as a last step, before the validation use the provided functionality to generate all document reference IDs by pressing the “Generate DocRefIDs” button.

Generate DocRefIDs

Account Holder List

The account holder list tab contains detailed information on the accounts or payments required to be reported. The account report contains the account holder, account balance and other related account information as specified in the schema definition.

Macao CRS Reporting

General InfoAccount Holder ListDelivery PackageConfiguration

Account Report List

Search

Account Number

Name

CLEAR LIST

Kein Content in Tabelle

Details

Account Holder

Account Number

the account number used by the financial institution to identify the account holder or payee

Number Type

State

CLOSED

UNDOCUMENTED

DORMANT

Account Balance

account balance

ISO

Doc Ref ID

Senders unique identifier of this document

ADD

Corr Doc Ref ID

Reference id of the document referred to if this is correction

Corr Account Number

Self Certification

Equity Interest Type

Account Type

Due Diligence Procedure

Joint Account

number of holders

Payments

Account Report for

Individual

Organisation

Last Name

The Individual Account Holder's last name

Names

First Name

First name or "NFN"

Residence Country

ISO-Code List, e.g. CH, DE, LI

Address

Address information in free text, blank or "/" (slash) used as a delimiter between parts

Fix Address

Country

ISO

Address Type

TIN

Tax identification number

Issued By

TIN List

Birth Date

birth date

Birth Info

ADD TO LIST

CLEAR FORM / NEW ENTRY

The entered values are continuously evaluated and errors are reported in the details text are on the lower left side. The account holder can be added to the account report list by pressing the now enabled “ADD TO LIST” button. Selecting the entry in the list let you change and update the account holder data.

If data is changed, but not updated before selecting another entry or create a new one the following notification pops up:

Pressing “OK” applies the changes and updates the item.

Please confirm

Unsaved Changes

Do you want to apply your changes?

OK

Abbrechen

A single entry in the list can be removed or duplicated by right-clicking the according entry and selecting the remove menu option from the displayed context menu.

The whole list can be cleared by selecting the “CLEAR LIST” menu option.

Account Report List

Search

Account Number

Name

1234

Last Name, First Name

4564

Orga Name

Remove

Duplicate

TIN

Balance

CLEAR LIST

Payments

This data element provides account data on certain payments made to an account, payee, or owner. More than one payment type may be reported. The entered values are continuously evaluated and once all mandatory fields are filled with valid data, the payment data can be added to the payments list by pressing the now enabled “ADD” button. Selecting the entry in the list let you change and update the payment data.

A single entry in the list can be removed by right-clicking the according entry and selecting the remove menu option from the displayed context menu. The whole list can be cleared by pressing the “CLEAR LIST” button.

Type	Amount	Currency
Kein Content in Tabelle		

Controlling Person List

Only available, if the account holder is an organization. If the account holder is an individual, the “Controlling Person” button is not visible.

Account Report for ☐ Individual ☒ Organisation

This data element identifies certain owners of the account holder or payee. The entered values are continuously evaluated and once all mandatory fields are filled with valid data, the controlling person can be added to the list by pressing the now enabled “ADD” button. Selecting the entry in the list let you change and update the controlling person.

Type	Name	TIN	Country
Kein Content in Tabelle			

A single entry in the list can be removed by right-clicking the according entry and selecting the remove menu option from the displayed context menu. The whole list can be cleared by pressing the “CLEAR LIST” button.

Search Account Holder

Within the account reporting dialog, it is possible to search for an existing account holder. Pressing the “Search”-Button opens the search dialog:

- Enter the search term
- Press the “SEARCH”-Button
- Select the matching result entry and
- Press “Select” to display the accountholder or “Cancel” to discard the search result

Following fields are taken into consideration for the search:

- Account number
- Document Reference ID
- TIN
- Name, if organization
- Last Name, if individual
- First Name, if individual
- Name of organization

If you require any other field, please contact: support@section11.ch

After selecting the according account holder from the list, the dialog can be closed. The selected entity is display within the account holder tab.

CRS Configuration

TRSuite Version 1706 introduces the support of configurable excel templates to import data into the solution. Each template requires its own configuration sheet. The TRSuite MO module comes with a pre-defined configuration/template.

For the 1st time configuration, select the provided (or your own) configuration file (1) and select the configuration sheet matching the excel template you want to use for import (2).

The “Generate Empty Template” button (3) enables the user to generate an empty excel template based on the selected configuration sheet. This is typically used, to verify the template, if you customize the configuration sheet.

The customization is described in more detail later in the loading and importing data chapter.

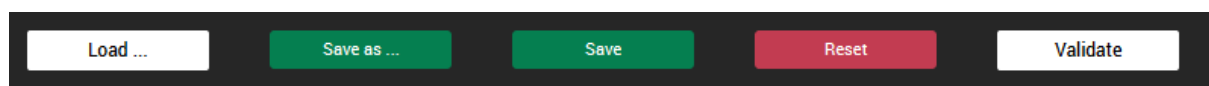
CRS Common Configuration

Default values for the working directory



- 1) The default working directory, where all generated artifacts are stored.

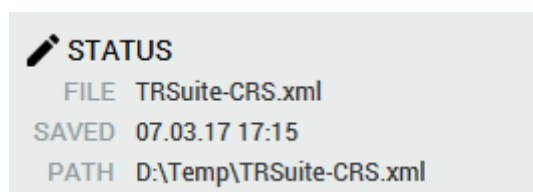
Configuration Button Bar



- **“Load”** You can open existing configuration files by pressing the Load-Button. In the dialog box browse to select a document and click “Open” to load the document.
- **“Save as ...”** Saves the current configuration in a different location, or with a different file name or file type. Opens a file chooser dialog to let you browse to the location where you want to save the document.
- **“Save”** Saves the current configuration to the last selected file or default location. The data is stored within an XML-File and automatically available the next time you start the program. If there are unsaved changes the save-button is colored green.
- **“Reset”** loads the last saved configuration and overwrites all unsaved changes.
- By pressing the **“Validate”**-Button the tool loads the given keys, validates the entered data and shows the result of the validation within a popup window.

File Information

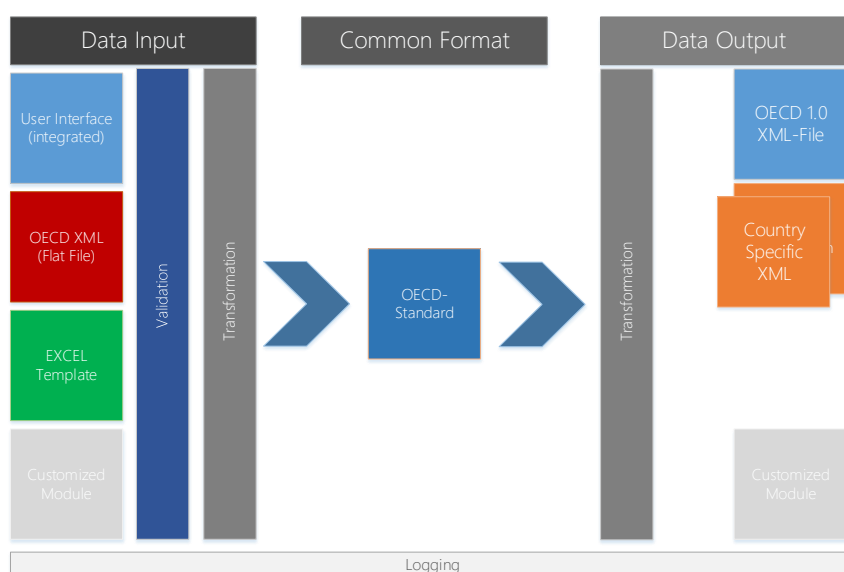
After a configuration is loaded or saved, the information about the file (name, last modified, absolute path) is displayed in the status pane of the detail area. The full path can be seen in the tooltip.



Loading and Importing Data

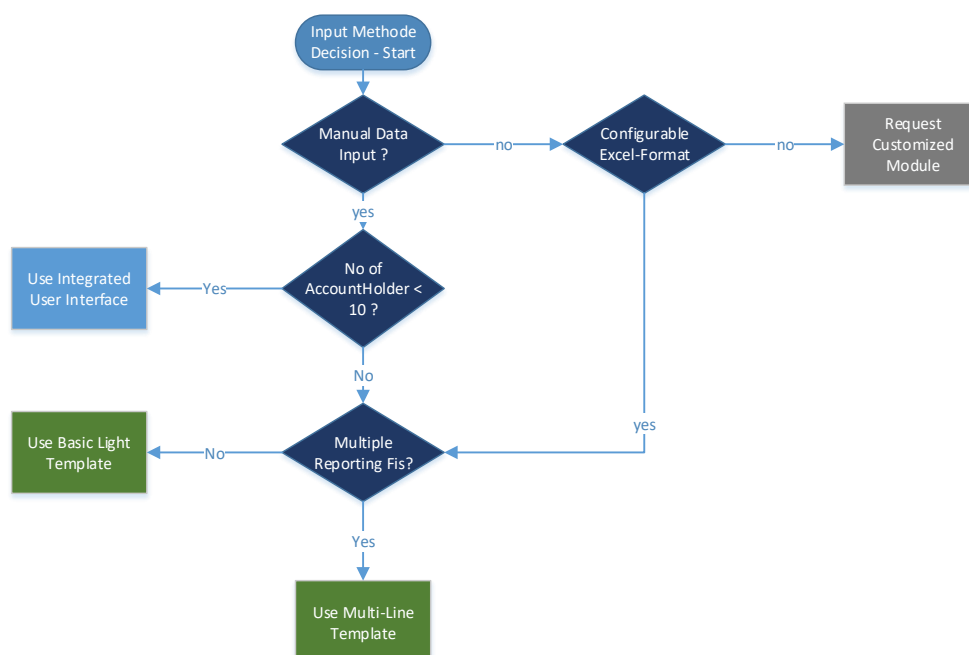
TRSuite supports different methods to import/add data to generate an OECD XML 2.0 compliant file:

- Use the provided Excel templates
- Generate and configure your own Excel template
- Input the data interactively over the User Interface
- OECD XML 2.0 files
- Request a customized importer fitting your company needs (csv, xml, ...)



Once the data is entered, TRSuite transforms the data into an OECD 3.0 XML file. Additional modules are available to generate country specific reporting formats, such as Austria, Germany, Hong Kong.

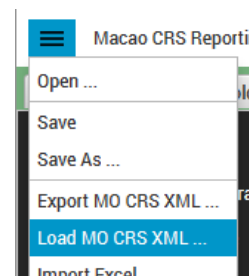
The following workflow should guide you, what import method to choose.



Import MO CRS XML File

The “Load MO CRS XML ...” option of the module menu allows the user to load existing MO CRS reports. Existing data in the module is cleared.

Choosing the load option opens a file selection dialog and a valid MO CRSXML 1.1 file can be selected for import.



Excel Import

The most commonly used function to load data into TRSuite is to use the provided or a customized Excel template. The following chapter describes the required configuration and import process.

Data Entry and Validation in the Template

The delivered workbooks contain macros that help you to fill them in correctly before the import. Excel blocks macros in a file downloaded from the internet, so confirm the security prompt when you open the workbook for the first time; without it the two buttons described below do nothing.



"New Entry" - also on the keyboard as Ctrl+Shift+N - opens an entry form for the list sheet you are on. The form is not a fixed mask: it is built when you open it, from the field identifiers and the column headings of that sheet, so it always matches the workbook you are working in. Coded fields are offered as drop-down lists, which spares you looking codes up. "Save" writes the entry into the first free row of the sheet.

Account Number [AC01]		Birth City [BN26]		Dividend [PA01]	
Account Type [AC02]		Birth Country [BN29]		Currency [PA02]	
Closed [AC03]		Building ID [BN19]		Interest [PA03]	
Dormant [AC04]		Suite ID [BN20]		Currency [PA04]	
Undocumented [AC05]		Floor ID [BN21]		Gross Proceeds [PA05]	
Corr. Doc Ref ID [AC09]		Street [BN18]		Currency [PA06]	
Corr. Account Number [AC10]		P.O. Box [BN17]		Other Payment [PA07]	
First Name [BN07]		Postal Code [BN24]		Currency [PA08]	
Middle Name [BN08]		District [BN16]		Self Cert [AC11]	
Last Name [BN10]		Country Subentity [BN23]		DD Procedure [AC12]	
Tax Residence [BN01]		City [BN15]		Joint Account [AC13]	
TBN [BN02]		Country Code [BN22]		Account Type [AC14]	
Issued By [BN03]		Account Balance [AC06]		Equity Interest Type [AC15]	
Birth Date (yyyy-mm-dd) [BN30]		Currency [AC07]			
Save + next Save + close Cancel					

"Check Data" examines the entered data against the rules of the schema. Findings are marked orange in the cell, and the reason is written into the "Check Result" column at the end of the

row; a message box reports how many were found. Running the check again clears the previous findings first, so the sheet never accumulates old marks. The following is examined:

- Mandatory fields on the General sheet and on both list sheets
- The CRS 3.0 fields - self-certification, due diligence procedure and account type - in the workbooks that contain them
- Code values: account number type, account holder type, controlling person type and the country and currency codes
- Amounts: not negative and with at most two decimal places, as the schema requires
- Dates, either as a real date or as text in the form YYYY-MM-DD
- Maximum field lengths
- Payments: type, amount and currency belong together and are checked as a set
- Controlling persons: an entry is either complete or absent, never half filled
- Document reference IDs: if one row carries one, every row has to; a corrected document reference ID is required for a correction or deletion and has to be empty otherwise

The check is a help before the import, not a replacement for it. TRSuite validates the report again after the import, against the schema and against the rules of the selected jurisdiction, and only that result decides whether the report can be filed.

MO CRS Excel-Template Configuration

Before an excel template can be imported the application needs to know how to map the spreadsheet data to the MO CRS format. This can be achieved by loading a so-called data dictionary and selecting the right configuration sheet for your purpose.

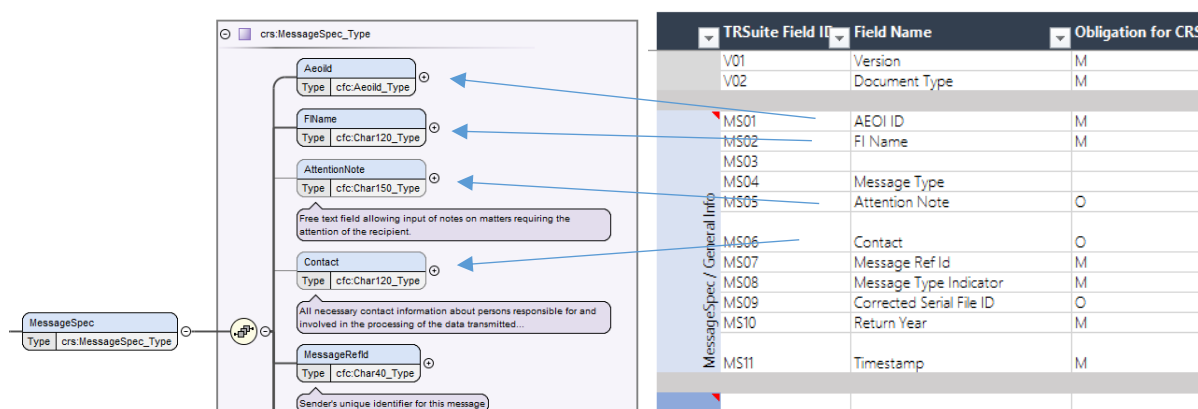
Excel Template Configuration

Configuration File: ...

Configuration Sheet: Generate Empty Template

A standard data dictionary and template is delivered with the product.

Each possible MO CRS entity has its TRSuite Field ID. This is the key used to map data from the excel sheet to the XML format. The following example show the mapping of the MessageSpec_Type element.



The “Import Excel ...” option of the CRS menu allows the user to load data from our provided excel templates. Any existing data in the module is cleared.

Selecting the load option opens a file chooser dialog, enabling the user to select a filled excel file. The file is then analyzed and all available data is displayed in the CRS main area. Errors or warnings are displayed in the details area and a dialog.

The provided Excel template is available for download at:

<http://www.section11.ch/downloads.html>

Extract the zip file to a local directory and extract the content. The file contains 6 different sheets to enter the required data:

Sheet	Description
Disclaimer	Disclaimer and Copyright Information
Overview	Short description of the document
General	General Information: Message and Filer Information
Individuals_List	Individual Account Holders (multiple individual accounts entry)
Organisations_List	Organizational Account Holders (multiple entity accounts entry)
Reference Data	List of values used in drop-down lists (for your reference only)

General

	A	B	C	D	E	F	G	H	
1		GENERAL INFO (MESSAGE SPEC)							
2								Clear Form	
3									
4	MS02	Name	Hong Kong Test FI						
5	MS01	AEOI ID	HK12345					Format: [A-Z]{2}[0-9]{5}	
6	V02	Document Type	New Test Data	OECD11					
7	MS10	Return Year	(YYYY)	2017					
8	MS08	Message Type	New information	CRS701					
9	MS07	Message Ref ID						Hint: Generate in TRSuite	
10	MS09	Corr. File Serial No							
11	MS05	Attention Note	Attention this is a test						
12	MS06	Contact	contact@me.org						
13									

Individuals List

	B	C	D	E	F	G	H	I	J	K	L	M
1	Clear List											
2	Individual / Natural Person											
3	AC01	AC02	AC03	AC04	AC05	AC09	AC10	IN07	IN08	IN10	IN01	IN02
4	ACCOUNT						ACCOUNT HOLDER					
5	Account Number	Account Type	Closed	Dormant	Undocumented	Corr. Doc Ref ID	Corr. Account Number	First Name	Middle Name	Last Name	Tax Residence	TIN
6	ACCT-1	OTHER						First Name 1		Last Name 1	CH	5678678
7	ACCT-2	OTHER	true					First Name 2		Last Name 2	DE	08088080
8												

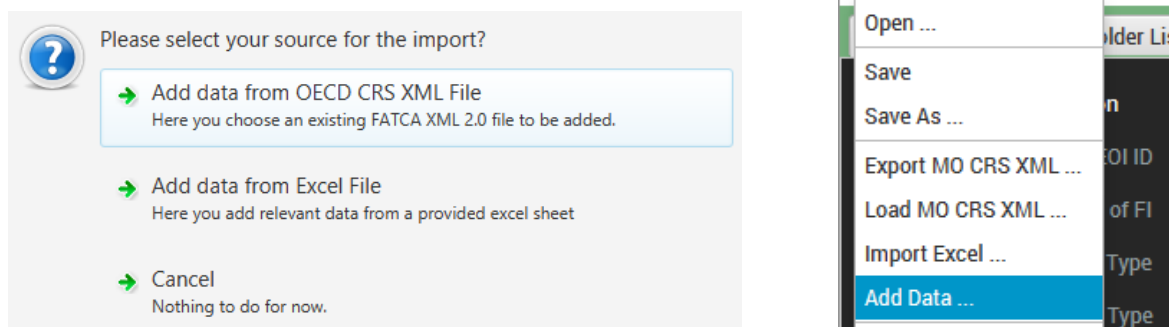
Organizations (non-natural persons) List

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	Clear List													
2	Organisation													
3	AC01	AC02	AC03	AC04	AC05	AC09	AC10	OR05	OR07	OR01	OR02	OR03	OR04	OR
4	ACCOUNT						ACCOUNT HOLDER							
5	Account Number	Account Type	Closed	Dormant	Undocumented	Corr. Doc Ref ID	Corr. Account Number	Name	Account Holder Type	Tax Residence	IN	Issued by	IN Type	AI
6	ORG-ACCT-1	OTHER						Organisation 1	CRS101	LI				AI
7														
8														

Add data to current report

The “Add ...” option of the CRS menu allows the user to concatenate account reports from different XML- or XLSM-sources. Existing data in the module is **NOT** cleared.

Selecting the add option opens the following selection dialog:



A file chooser opens, enabling the user to select a filled excel or XML-file. The file it is then analyzed and all available account- and reporting FI data is added to the existing data. Errors or warnings are displayed in the details area and a dialog.

Create Delivery Package

Within the “Delivery Package” Tab the user can enter all required information to generate a valid transmission archives including all relevant files.

Configuration

The configuration part allows the user to enter all required information needed to generate a valid transmission archive.

The image shows a 'Configuration' dialog box with four fields, each with a label and a text input area followed by a green ellipsis button: 'Path to Private Key' (containing 'C:\AppData\Local\TRSuite\app\resources\samples\IRS_TestPacket_v2.0\SenderCert\sender.p12'), 'Password Private Key' (containing '*****'), 'Path to FSB Public Key' (containing 'if not set the internal version is used'), and 'Working Directory' (containing 'H:\TMP').

- 1) Your public key certificate received from eTrust
- 2) Optional: Password for your PEM/PFX/P12 file
- 3) Optional: The public key provided by the FSB. If no value is entered, the most recent official FSB key is used, which comes with the product
- 4) The default working directory, where all generated artifacts are stored.

Generate Upload File

The Payload section let the user select the XML file(s) to be processed:

Generate Upload File

Payload Path ...

Overwrite Files ☒

Output Directory ...

Create

All generated files will be written to the following folder structure within the selected output directory.

	failed	15.12.2016 1	The processed XML file is either copied to the processed folder, if the transmission archive was successfully generated or to the failed folder, if the file could not be processed properly.
	processed	15.12.2016 1	
	temp	15.12.2016 1	
	upload	15.12.2016 1	

The generated transmission archive is moved to the upload folder. The temp folder contains all artifacts generated during the processing. All temporary output is stored in a new folder created within the working directory temp folder. The naming schema for the new folder is simply the UTC-timestamp. The generated transmission archive is named, following the MO guidelines.

Following steps are executed during the creation process:

- 1) Validation of the payload xml file against the MO xsd schema of the selected version (v1.1 to v1.4).
- 2) Signing the payload xml with the FI's private key
- 3) Compressing the signed payload xml
- 4) Encrypting the compressed payload file with a one-time AES-256 key
- 5) Encrypting the AES key with the FSB public key
- 6) Creating the transmission archive and write all output to the working directory

GENERATION

☒ Validate the XML file 1.4

☒ Digitally sign the file

☒ Compress the XML file

☒ Encrypt XML file with AES-KEY

☒ Encrypt AES key with FSB PK

☒ Create the transmissi...

If the process step executes without errors a success symbol is displayed next to the step label.

☒ Validate the FATCA XML file 2.0 

If the step fails, a warning symbol is shown.

☒ Validate the FATCA XML file 1.1 

More information about the result is displayed in the status area below the process steps.

In case of an error, a localized error message is displayed within a notification dialog. The language of the message depends on your OS settings. For instance, if you use a German version of Windows the message is display in German.

A more detailed (but technical) error message is written to the log file.

Processing failed!

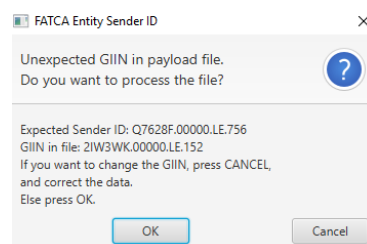
Processing failed!

The following errors occurred during the processing:

Required validation schema FATCA XML 1.1 but file schema is 2.0

OK

During the process the Reporting FI GIIN in your payload file is compared with the GIIN entered in the entity sender ID field. If the values do not match, a warning is displayed. By pressing the “OK”-Button the mismatch is confirmed and the process continues. By pressing the “Cancel”-Button the process is stopped and the error can be corrected.



After the successful generation, the file path of the transmission archive is set to the “Transmission Archive” field in the Upload section.

Appendix

Export control information

This distribution includes cryptographic software. The country in which you currently reside may have restrictions on the import, possession, use, and/or re-export to another country, of encryption software. BEFORE using any encryption software, please check your country's laws, regulations and policies concerning the import, possession, or use, and re-export of encryption software, to see if this is permitted. See <http://www.wassenaar.org/> for more information.

TRSuite uses the Java Cryptography Architecture (JCA) and the Bouncy Castle libraries for handling de-/encryption.