TAX REPORTING SUITE

CRS

AUSTRIA

VERSION 1706

USERS'S MANUAL

Published: June 2017

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Content

Revision History

Product Version	Document Version	Revision Date	Description
1704	Rev 0	10.04.2017	GA Release AT
1706	Rev 1	19.06.2017	Webservice support added

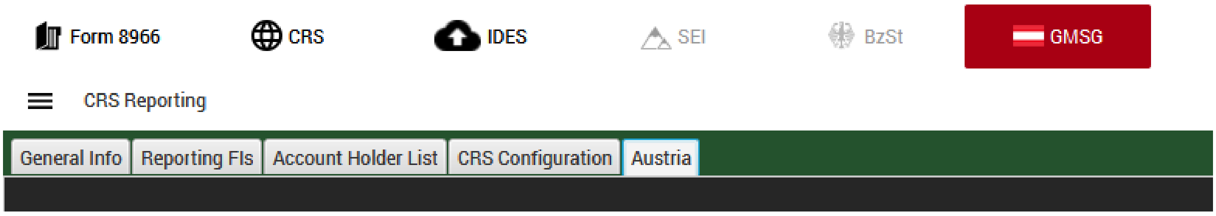
The Main Window

The Main window is the launch point for the entire application. You can select the licensed module in the modules button bar and perform the module specific tasks within main area of the module.

Each module comes with its own module menu and detail area.

CRS AT: Module Overview

This guide provides information about the AT specific extension of the CRS module of the TRSuite software package. It describes processes and procedures for importing and exporting CRS OECD XML 1.0 files compatible with the Austrian schema extensions.



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The CRS module includes the following:

- General Info Tab
- Reporting FIs Tab
- Account Holder List
- XML- / Excel-Import
- XML-Export
- Configuration

The functions are described in the CRS User Guide.

The CRS AT module covers the following extension

- Austria Reporting Tab

The screenshots throughout the USER's MANUAL are produced on Windows 10 using the Windows Look and Feel.

In addition to this Users Guide, the following online resources may be useful:

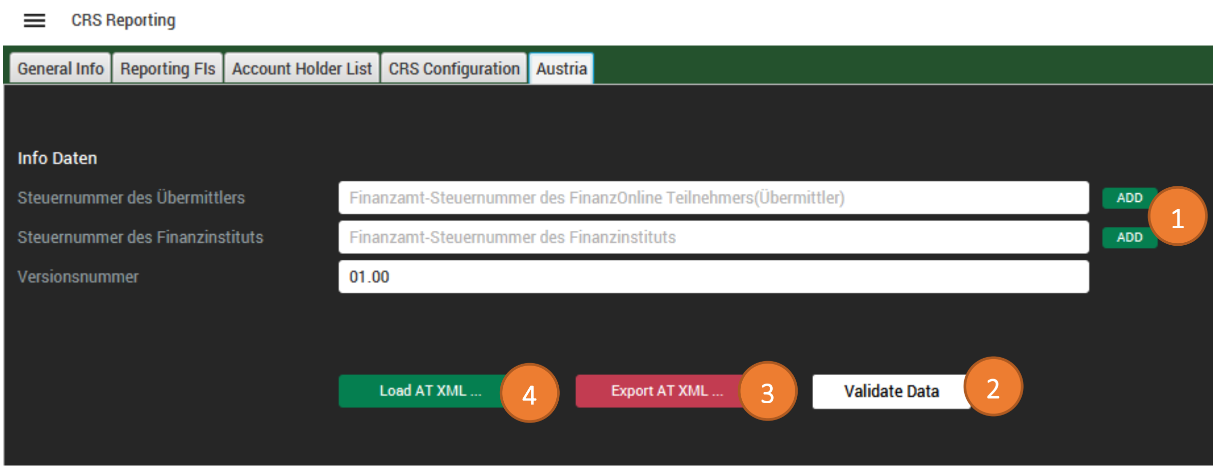
- GMSG / BMF Detailinformation für Banken
  https://www.bmf.gv.at/egovernment/fon/fuer-versicherungen-und-banken/Banken-Detailinfo.html
- OECD AEOI portal
  http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/
- The Common Reporting Standard
  http://www.oecd.org/ctp/exchange-of-tax-information/standard-for-automatic-exchange-of-financial-account-information-for-tax-matters-9789264216525-en.htm
- The CRS XML Schema User Guide
  http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/schema-and-user-guide/#d.en.345315

Reference

The following information is used to generate a CRS OECD 1.0 XML compliant document. Before filing a OECD CRS Report, please ensure that you are familiar with your country specific Guidance Notes.

CRS AUSTRIA

The Austrian Tax Authority defines an additional header element **Info_Daten** to allow the identification of the sender (Übermittler) and the reporting financial institution. These data element can be entered in the CRS AT tab as shown below.



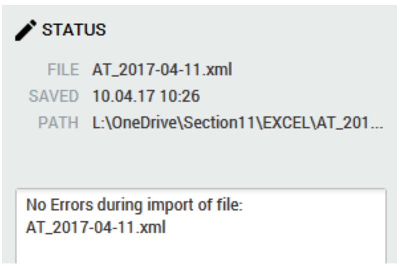
If the data is already entered into the General Info (Übermittler) and the Reporting FI tab, the “add” buttons (1) allow the automatic transfer of the data.

After the successful validation of the filed report (2) the final XML-Report can be exported by pressing the “Export AT XML …” button (3).   IT opens a file chooser dialog to let you browse to the location where you want to save the document.

You can open existing AT XML report by pressing the “Load AT XML… “-Button (4). In the dialog box browse to select a document and click “Open” to load the data.

File Information

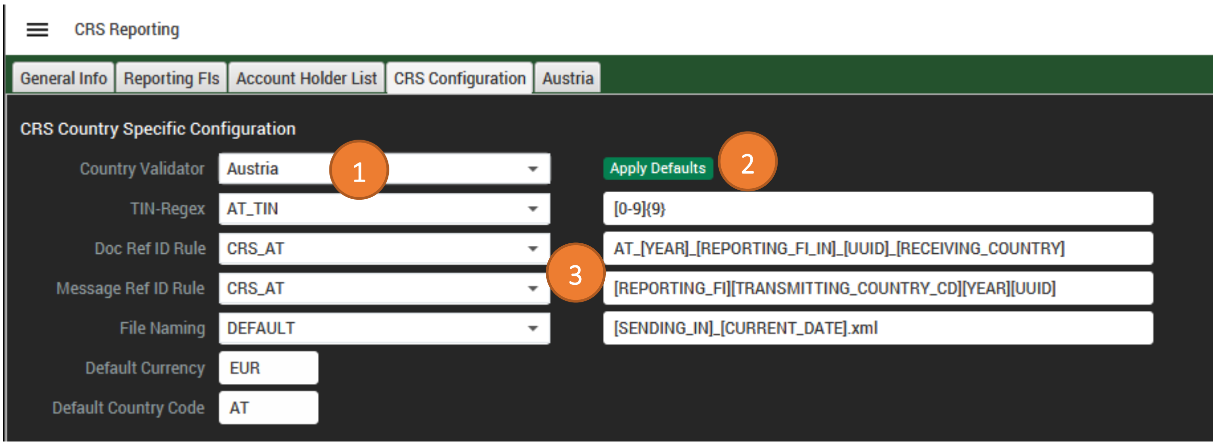
After a file is loaded or saved, the information about the file (name, last modified, absolute path) is displayed in the status pane of the detail area. The full path can be seen in the tooltip.



CRS AT Configuration

Different tax authorities defining their country specific rules on how to generate valid documents and how to generate well-formed document as well as message reference IDs. Also, the specific characteristics of the TIN specificities depends on the country.

In the CRS Configuration tab the user selects the according Country Validator or generates a user-defined regular expression.



First select your country (1), apply the default values by pressing (2), check the configuration (3) and adapt the values as required. Finally save the configuration.

- **“Load”** You can open existing configuration files by pressing the Load-Button. In the dialog box browse to select a document and click “Open” to load the document.
- **“Save as ...”** Saves the current configuration in a different location, or with a different file name or file type. Opens a file chooser dialog to let you browse to the location where you want to save the document.
- “**Save**” Saves the current configuration to the last selected file or default location. The data is stored within an XML-File and automatically available the next time you start the program. If there are unsaved changes the save-button is colored green.
- “**Reset**” loads the last saved configuration and overwrites all unsaved changes.
- By pressing the “**Validate**”-Button the tool loads the given keys, validates the entered data and shows the result of the validation within a popup window.

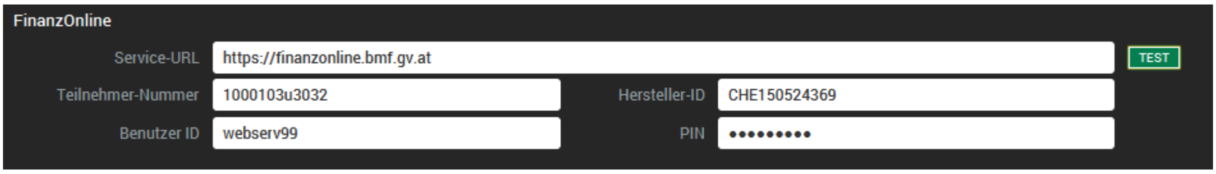
FinanzOnline

CRS Reporting in Austria requires the reporting financial institutions to upload and download files to the FinanzOnline portal via web service. The following learning nugget demonstrates how this can be easily done with TRSuite: https://www.youtube.com/watch?v=QSIvXOaQZ5Y

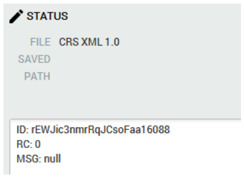
Preconditions

- Der Übermittler muss FinanzOnline Teilnehmer sein.
- Der Teilnehmer muss in der Benutzerverwaltung einen Benutzer für 'Webservices' anlegen.
- Das Webservice muss mit diesem Benutzer verwendet werden.

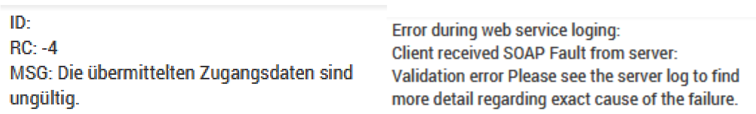
First the FinanzOnline configuration needs to be filled with the required data:



After all required data is entered, a test-login can be executed by pressing the "TEST" button. If the login is successful, the current session ID and return code = 0 is displayed in the status text area:



IF the login fails, no session ID is received and the error message is displayed in the status area:



Upload

To upload your CRS report, select the AT-XML file, check the Test-Transfer box (if the upload contains test data) and press the "WebService-Upload" button.

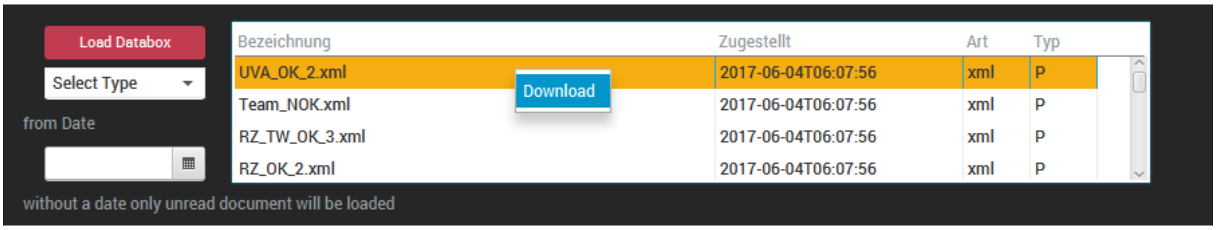
The resulting return code and message is displayed in the details area. If the transfer is successful a transfer protocol is placed in the users "Databox" for download.

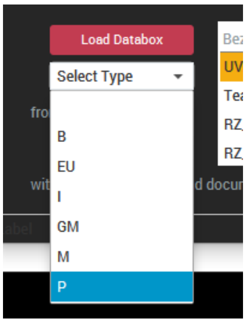
If the transfer fails, either a technical error message is returned or an error code in case of any business rule violation. The following error codes are defined by the BMF:

0 = Aufruf ok
-1 = Die Session ID ist ungültig oder abgelaufen.
-2 = Der Aufruf des Webservices ist derzeit wegen Wartungsarbeiten nicht möglich.
-3 = Es ist ein technischer Fehler aufgetreten.
-4 = div. Fehlermeldungen vom Parser
-5 = Sie haben keine Berechtigung, diese Inhalte dieser Art zu übermitteln.

DataBox Download

By pressing the “Load Databox” without any additional type or date settings, all unread DataBox documents will be displayed:



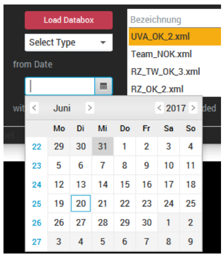


If a specific type is selected only documents of this type are display.

Following types are defined by the BMF:

AE	Amtshilfeersuchen Einheitswerte (nur für Notare)
AF	Amtshilfeersuchen Erledigung durch Finanzamt (nur für Notare)
AK	Amtshilfeersuchen Kontoabfragen (nur für Notare)
AZ	Amtshilfeersuchen Abschluss (nur für Notare)
B	Bescheide, Ergänzungsersuchen und Bescheinigungen
DL	Dienstgeberbeitragslisten (nur für Gemeinden)
E	Prüfungsergebnisse (nur für Gemeinden)
EU	EU-Erledigungen
FB	Firmenbuchzustellungen (nur für Wirtschaftstreuhänder, Notare und Rechtsanwälte)
GM	Grundsteuermessbeträge
I	Informationen
KG	Kommunalsteuergrundlagen (nur für Gemeinden)
M	Mitteilungen
P	Protokolle
QL	Quotenlisten (nur für Parteienvertreter)
SS	Selbstberechnungserklärungen (nur für Wirtschaftstreuhänder, Notare und Rechtsanwälte)

To download a file to your local hard drive, right-click the according file entry and press “Download” from the context menu item. The file is stored within the configured working directory.



If a date value is selected read and unread documents are displayed from the selected date until – 7 days. Elements are only available for the last 31 days.

If the transfer fails, either a technical error message is returned or an error code in case of any business rule violation. The following error codes are defined by the BMF:

0	=	Aufruf ok
-1	=	Die Session ID ist ungültig oder abgelaufen.
-2	=	Der Aufruf des Webservices ist derzeit wegen Wartungsarbeiten nicht möglich.
-3	=	Es ist ein technischer Fehler aufgetreten.
-4	=	ts_zust_von und ts_zust_bis müssen angegeben werden.
-5	=	ts_zust_von darf nicht kleiner als das aktuelle Datum - 31 Tage sein.
-6	=	ts_zust_bis darf nicht größer als ts_zust_von + 7 Tage sein.

Appendix

Export control information

This distribution includes cryptographic software. The country in which you currently reside may have restrictions on the import, possession, use, and/or re-export to another country, of encryption software. BEFORE using any encryption software, please check your country's laws, regulations and policies concerning the import, possession, or use, and re-export of encryption software, to see if this is permitted. See http://www.wassenaar.org/ for more information.

TRSuite uses the Java Cryptography Architecture (JCA) and the Bouncy Castle libraries for handling de-/encryption.